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## The Afferent, Intrinsic, and Efferent Connections of Primary Visual Cortex in Primates

VIVIEN A. CASAGRANDE and JON H. KAAS

### 1. Introduction

Because of its distinctive architecture, connections, and functions, primary visual cortex, area 17 or V1 of primates, can be easily identified in most mammals (Kaas, 1987). V1 (also referred to as striate cortex) is particularly distinctive in primates, and, as a result, it was the first cortical area identified histologically (see Gennari, 1782, in Fulton, 1937). V1 of most, if not all, primates has a number of conspicuous features that distinguish this structure from its homologue in other mammals. Unlike carnivores, such as cats and ferrets, almost all of the visual input relayed from the lateral geniculate nucleus (LGN) of primates terminates in V1 (Benevento and Standage, 1982; Bullier and Kennedy, 1983; see Henry, 1991, for review), and lesions of V1 produce a severe deficit known as cortical blindness (e.g., Cowey and Stoerig, 1989). In addition, visual cortex of all primates is activated by physiologically and morphologically distinguishable streams, or channels, of inputs that are relayed from the retina to V1 in a

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manner unique to primates (Kaas and Huerta, 1988; Casagrande and Norton, 1991). Furthermore, the intrinsic connections of V1 in primates exhibit both vertical (laminar) and areal (modular) distinctions that appear designed to create new output channels from input channels via features of internal circuitry. Finally, the output streams project to visual areas that seem to be organized in a manner unique to primates. In particular, the major cortical target of V1, the second visual area, V2, is composed of three morphologically distinct modules that are differentially activated from V1, and at least one other major target of V1, the middle temporal visual area or MT, appears to be a unique specialization of primates (Kaas and Preuss, 1993). These common features of visual cortex in primates are of particular interest because these specializations relate to vision in humans as well as other primates. In this review, we focus on common features that have been described for V1 across a variety of primate species, and therefore are most likely to be present in most or all primates. In addition, we describe differences in V1 organization across primate groups, since these differences may relate to functional specializations and adaptations in the greatly varied primate order. Features that vary across taxa, when related to behavioral niches, may provide clues as to the significance of variations. Finally, this review briefly compares V1 in primates with V1 in some nonprimates to emphasize the distinctiveness of V1 in primates.

### 2. Architecture: Defining Layers and Compartments

Our understanding of the functional subdivisions, connections, and micro-architecture of V1 has increased enormously in the past 20 years. However, a difficulty in discussing this understanding is that all published papers do not relate to a common anatomical frame of reference. In order to review the connections of V1, it is useful to consider the general issue of how this area has been subdivided into layers and modules, or compartments. We begin with a discussion of traditional concepts and controversies concerning cortical lamination in primates. We then consider how the landscape of V1 is divided based on staining for the mitochondrial enzyme cytochrome oxidase.

#### 2.1. Cortical Lamination

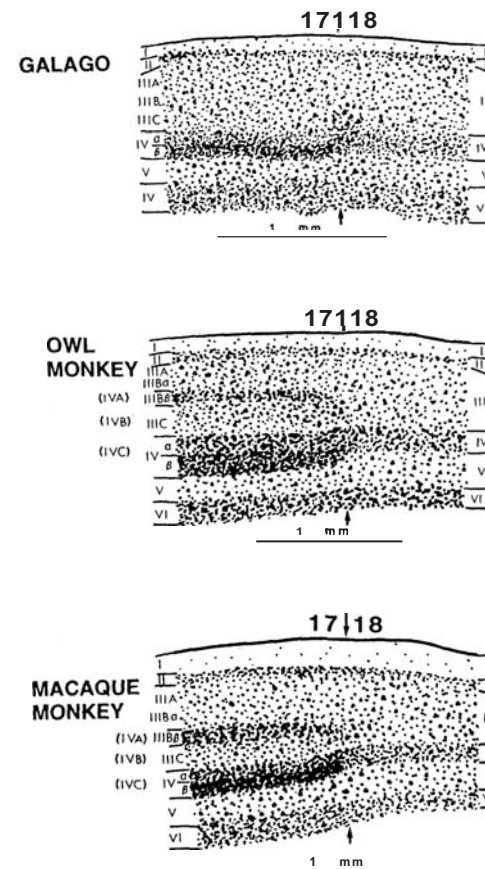
A description of laminar patterns of afferents in primate V1 is currently complicated by the use of different interpretations of cytoarchitecturally defined layers in Nissl stains for cell bodies.\* There has been the widespread adoption of the framework of six layers as proposed by Brodmann (1909) over schemes with more layers, but variations of the six-layer framework exist (for review, see Garey, 1971; Billings-Gagliardi *et al.*, 1974; Braak, 1984; Henry, 1991; and Peters, this volume). In particular, Hassler (1967) and others (e.g., Weller and Kaas, 1982; Diamond *et al.*, 1985; Lachica *et al.*, 1993) have argued that sublayers defined by Brodmann as part of layer IV in primate V1 are actually parts of layer III. Such differences in interpretation obviously compli-

\*We use the terms area 17 or V1 and area 18 or V2 interchangeably throughout this chapter.

cate comparisons of laminar differences and similarities across primate and nonprimate taxa, as well as comparisons across cortical areas within primates. Descriptions of laminar patterns of neuron types, neurotransmitter receptor distributions (e.g., Shaw and Cynader, 1986), and connections can be misleading if homologous layers and sublayers are not correctly identified.

The architectonic observation that leads to ambiguity is that two sheets of cells in V1 of some simian primates have the appearance of layer IV in that the cells are small and densely packed. These two sheets are separated by a zone of less densely packed, larger neurons (Fig. 1). Brodmann interpreted the two sheets of small granular cells as upper and lower tiers of layer IV (termed IVA and IVC), separated by a middle tier of larger cells (termed IVB). These three "sublayers" of Brodmann's layer IV were thought to merge into a thinner, and more typical layer IV at the border of visual area 18 (V2), and indeed they often appear to do so. This view was clearly summarized by Clark (1925) with his statement that "two layers of granules have been derived from an original single layer. . . that, at the junction between the visuo-sensory and visuo-psychic areas . . . run together and connect up to form a single lamina granularis interna." On the other hand, from careful analysis of serial sections in several planes of cut, it is clear that sublayer IVB of Brodmann's area 17 merges with sublayer IIC of area 18 (e.g., Colonnier and Sas, 1978), with IVA having no clear equivalent in area 18. This observation is more consistent with Hassler's interpretation that only IVC of Brodmann is equivalent to layer IV in "higher" primates, and that sublayers IVA and IVB of Brodmann are, instead, sublayers of layer III.

While it may appear difficult to resolve the issue of defining layers and sublayers in area 17 of primates, the bulk of the evidence clearly supports Hassler's interpretation. First, if the lamination patterns of V1 are compared across primates, it becomes apparent that layers IVA and IVB of Brodmann are less developed sublayers of layer III in most New World monkeys and hardly apparent as sublayers of layer III in prosimian primates such as galagos. Hassler (1967) used such comparisons across primate groups to support his theory of cortical lamination, and further comparisons fortify his view (e.g., Weller and Kaas, 1982; Diamond *et al.*, 1985; Lachica *et al.*, 1993). Second, layer IIC neurons, according to Hassler's concept of layers, project to extrastriate cortex in all primate taxa examined (see below), as do layer III cells in nonprimates. According to Brodmann's scheme, these projections would originate in layer IVB of simians (e.g., macaque monkeys) and layer IIC of prosimians (e.g., lorises and lemurs). Clearly, Hassler's interpretation of layers allows for a simpler explanation of laminar patterns of connections, while Brodmann's interpretation calls for explanations of how layer IV became a source of projections to extrastriate cortex in higher primates, and how a major difference in prosimian and simian primates evolved. Third, large pyramidal cells are found in Brodmann's layer IVB of monkeys (e.g., Lund *et al.*, 1979), and large pyramidal cells are not typically found in layer IV. Furthermore, as Colonnier and Sas (1978) stress, this pyramidal cell layer of area 17 merges with the IIC pyramidal cell layer of area 18. Thus, we use a modified version of Hassler's designations for layers in the present report. For convenience we have compared the laminar designations used in this chapter with those proposed by Brodmann (parentheses) in Fig. 1. The most relevant differences between Brodmann's designations and those used here are as follows: III $\beta$  (IVA), IIC (IVB), IV $\alpha$  (IVC $\alpha$ ), and IV $\beta$  (IVC $\beta$ ).



## 2.2. Cytochrome Oxidase Modules

Primary visual cortex of primates is distinguished not only by characteristic laminar patterns in Nissl-stained sections, but also by the presence of a distinct, periodic pattern of light and dark staining perhaps best demonstrated using the mitochondrial enzyme cytochrome oxidase (CO) (see Fig. 2). This staining pattern was first recognized in 1978 when Margaret Wong-Riley (see Horton, 1984) noted that CO staining was darker in some layers than in others (e.g., geniculate recipient layer IV; see also below) and that there were "puffs" of increased CO activity centered in layer III. Subsequently, it became apparent that these "puffs"—which have also been called dots, patches, spots, and splotches, but which are now popularly referred to as CO blobs (Livingstone and Hubel, 1984a)—marked functionally distinct modules or subdivisions of primate V1 (see Condo and Casagrande, 1990, for review). We describe the organization and variation in the appearance of CO blobs here since these blobs are ubiquitous enough to be considered a basic feature of primate cortex (see also Wong-Riley, this volume). Blobs appear to exist in area 17 of most, although possibly not all, primates (see McGuinness *et al.*, 1986; however, see Preuss *et al.*, 1993). Moreover, there is evidence from macaque monkeys, squirrel monkeys, and galagos linking CO blobs and interblobs with differences in receptive field properties and connections (see DeBruyn *et al.*, 1993, for review; see also below).

CO blobs have generally not been found in nonprimates, although two preliminary reports have suggested that similar structures can be revealed in striate cortex of cats and ferrets using special fixation procedures (Cresho *et al.*, 1992; Murphy *et al.*, 1991; however, see Kageyama and Wong-Riley, 1986). In all mammals, however, CO appears to stain those layers within area 17 that receive direct thalamic input more darkly than layers that do not receive such direct input. Thus, layer IV and to a lesser extent layer VI always exhibit more dense staining than layers III and V (Horton, 1984). In primates the smallest class of LGN cells (W cells) also provide a patchy input that colocalizes with the CO blobs. It may be that high CO activity in blobs generally corresponds to zones of dense LGN input. In fact, the borders of layer IV defined in a CO stain appear to match the full extent of LGN arbor terminals in layer IV. This relationship has led some investigators to define cortical laminar borders based on CO stain rather than Nissl stain; borders of layers defined in a Nissl stain do not exactly match those defined in a CO stain (e.g., layer IV appears narrower in a Nissl stain) (see Fitzpatrick *et al.*, 1985). Other aspects of the relative distribution of CO, however, such as an uneven CO staining in layer IV $\alpha$  in some primates, suggest that relative levels of CO do not simply reflect thalamic input (Carroll and Wong-Riley, 1984; Condo and Casagrande, 1990). More important, defining

Figure 1. The laminar organization of neurons in areas 17 and 18. The sublayers of layer III are more differentiated in monkeys than galagos. The layers and sublayers are numbered according to Hassler (1967), but Brodmann's (1909) numbers are given in parentheses. The use of Brodmann's terminology assumes that a broad region of sublayers in area 17 merges at the 17/18 border (arrows) to form a narrow layer IV in area 18. The use of Hassler's terminology assumes instead that only layer IVC of Brodmann is continuous with layer IV of area 18. The transition between areas is simpler in galagos, suggesting that Hassler's view is more valid. Because of such comparative and other evidence (see text), we use Hassler's terminology. Figure adapted from Weller and Kaas (1982) with permission.

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CHAPTER 5

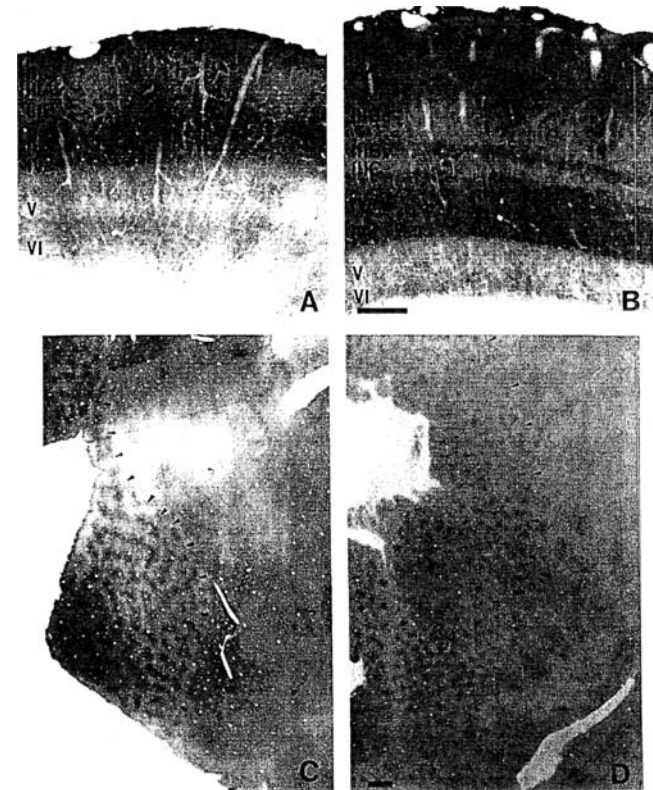


Figure 2. Cytochrome oxidase (CO)-stained sections of the striate cortex in galagos (A,C) and squirrel monkeys (B,D). Sections in A and B were cut coronal to the cortex and sections in C and D were cut tangential to the cortex after it had been unfolded and flattened. The top panels show the appearance of CO blobs in relationship to the cortical layers indicated by Roman numerals. The bottom panels show the overall distribution of the CO blobs in layer III. Arrowheads indicate the border between striate cortex (i.e., area 17 and V1) and area 18 (V2). Bars = 250  $\mu$ m (A, B) and 500  $\mu$ m (C, D). From Lachica *et al.* (1993) with permission from the publisher.

layers with CO and/or the myriad of other markers has the potential for adding enormous confusion to the existing problem of comparing cortical layers in the same species as well as across species.

Across primates the basic pattern of CO staining is largely similar. In all primates, layer IV is densely CO positive, and CO-positive blobs appear centered in layer III $\beta$ ; weaker periodic staining aligned with these blobs occurs directly above and below the blobs as well as within the infragranular layers (Horton, 1984). A few phyletic differences in details of CO staining have also been reported. Some differences appear to be a direct reflection of differences in LGN input. Thus, as discussed in more detail below, some simian primates (e.g., macaque monkeys and squirrel monkeys) exhibit direct input from the LGN layers to a subdivision of layer III, III $\beta$ . The CO staining in III $\beta$  exhibits a unique honeycomb pattern that exactly matches the variation in thalamic input to this layer in these species (Humphrey and Hendrickson, 1983; see also Peters and Sethares, 1991a, for review of the structure of III $\beta$ ). Primates that do not have thalamic input to III $\beta$  (e.g., galagos and owl monkeys) likewise show no selective increase in CO staining of this sublayer (Tootell *et al.*, 1985; Condo and Casagrande, 1990; see also Fig. 3). It is likely that humans also lack thalamic input to III $\beta$ , since there is no evidence of differential CO staining of III $\beta$  in human striate cortex (Horton and Hedley-Whyte, 1984; Wong-Riley *et al.*, 1993). CO blobs also appear to be centered on ocular dominance columns, in those species that exhibit ocular segregation, and variations in patterns of ocular segregation correlate with the arrangement of CO blobs (Horton and Hubel, 1981; Hess and Edwards, 1987; Rosa *et al.*, 1991). Thus, in macaque monkeys the CO blobs form elliptical patches elongated with the long axis of ocular dominance columns; in squirrel monkeys, which lack ocular dominance columns, the blobs appear round and are not arranged in elongated groups (Horton and Hubel, 1981; Humphrey and Hendrickson, 1983). Other evolved differences in CO

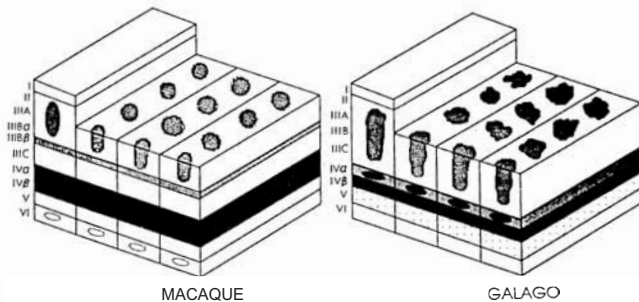


Figure 3. Schematic drawings showing the differences between the organization of CO activity in the striate cortex of the macaque monkey (left) and galago (right). Blocks indicate ocular dominance columns. Darkened layers and patches indicate high CO activity. From Condo and Casagrande (1990) with permission from the publisher. See text for details.

staining patterns are less clearly linked to LGN input. In macaque monkeys and galagos the relative size and density of CO blobs vary with eccentricity (Livingstone and Hubel, 1984a; Condo and Casagrande, 1990); blobs are both larger and less frequent in the area of V1 representing central vision than in zones representing peripheral vision. Surprisingly, the opposite has been reported for owl monkeys (Tootell *et al.*, 1985). Phyletic differences have also been reported in the size and number of CO blobs. In nocturnal galagos CO blobs appear to occupy relatively more tangential cortical space in the region of central vision (39 versus 35%) than in macaque monkeys (Condo and Casagrande, 1990). This result does not fit with arguments that blobs play a unique role in color vision (Livingstone and Hubel, 1988); galagos are nocturnal and thus typically operate under conditions where color is not useful.

The number of blobs increases roughly linearly with estimated size of striate cortex. Thus, species with a larger V1 also have more CO blobs (Condo and Casagrande, 1990). There are exceptions, however, in that humans appear to have fewer and larger, more widely spaced blobs than do macaque monkeys (see Horton and Hedley-Whyte, 1984; Fig. 11 of Condo and Casagrande, 1990; Wong-Riley *et al.*, 1993). Beyond these quantitative evolved differences, other qualitative phyletic differences in CO patterns have been reported. For example, in owl monkeys, galagos, and humans, layer IV $\alpha$  shows periodic staining which aligns with the blobs in layer III; periodic staining in IV $\alpha$  has not been reported in squirrel monkeys (Carroll and Wong-Riley, 1984; Horton, 1984). Moreover, weak periodic staining of large pyramidal cell bodies in layer V has been observed in macaque monkeys but not in other species, and periodicity in the neuropil of layer VI has been seen in several species (see Condo and Casagrande, 1990, for review).

### 3. Thalamic Control: Subcortical Inputs

The major inputs to area V1 in primates and other mammals are from the (dorsal) LGN and, to a lesser extent, the nuclei of the pulvinar complex (see Kaas and Huerta, 1988). The activation of V1 appears to completely depend on the inputs from the LGN, since inactivation of the geniculate neurons also blocks visually evoked responses in V1 neurons (Malpeli *et al.*, 1981). The significance of the inputs from the pulvinar complex is unknown, but pulvinar inputs may modulate the activities of V1 neurons and alter receptive field properties relative to attention and other behavioral states (see Desimone *et al.*, 1990). Relationships with the pulvinar complex are considered briefly in a later section. Other modulating inputs originate from a number of subcortical structures reviewed recently by Tigges and Tigges (1985), and they will not be considered in detail here. Briefly, these inputs include direct serotonergic inputs from the raphe nuclei, noradrenergic connections from the locus coeruleus of the brain stem, cholinergic projections from nucleus basalis of Meynert and nucleus of the diagonal band of Broca, and inputs from a few neurons in the hypothalamus, nucleus basalis lateralis of the amygdala, and intralaminar nuclei of the thalamus. In addition, a circumscribed portion of the claustrum is reciprocally connected with V1, and activation of claustral inputs has been shown to reduce spontaneous activity and alter neuron response characteristics of V1 neurons in

cats (e.g., Sherk and LeVay, 1983). This section focuses on the major input patterns from the LGN.

### 3.1. Geniculostriate Termination Patterns

In important ways, the geniculostriate projection pattern of primates reflects a more general mammalian pattern. In most or all mammals investigated, the major geniculostriate terminations are in layer IV, although sparser terminations have been described in the supra- and infragranular layers (e.g., cat: LeVay and Gilbert, 1976; Ferster and LeVay, 1978; mouse: Drager, 1974; rat: Ribak and Peters, 1975; squirrel: Weber *et al.*, 1977; opossum: Sanderson *et al.*, 1980; tree shrew: Harting *et al.*, 1973; Casagrande and Harting, 1975; Hubel, 1975; Conley *et al.*, 1984; Raczkowski and Fitzpatrick, 1990). The layer IV projections originate from medium- to large-sized neurons, the physiologically defined X and Y neurons, respectively, of cats and the parvocellular, P (X-like), and the magnocellular, M (Y-like), neurons of primates. Terminations in layers I, II, and III originate from small geniculate neurons located either in layers of small cells, the koniocellular (K) layers or in intercalated (I) layers, layers of mixed small and larger cells, the superficial (S) layers, or interlaminar zones (see Casagrande and Norton, 1991, for review). These smaller LGN relay neurons typically receive inputs from the superior colliculus as well as the retina (Harting *et al.*, 1978; Lachica and Casagrande, 1993). In cats these small cells have been classified physiologically as W cells. This category is a heterogeneous population of cells grouped together mainly because of their tendency to have slower conduction velocities from the retina and larger receptive field sizes. Similar, but not identical, W-like cells have been identified in several mammalian species including tree shrews, opossums, and ferrets (see Stone, 1983; Casagrande and Norton, 1991, for review). In primates these smaller cells have only been studied in galagos, where they exhibit W-like receptive field properties (Norton and Casagrande, 1982; Irvin *et al.*, 1986; Norton *et al.*, 1988). Based on similarities in connections, it is likely that this class of small LGN cells will also be found to have W-like properties in other primate species (see Lachica and Casagrande, 1993). In part for convenience, we refer to the classes of geniculate relay neurons in primates as P (X-like), M (Y-like), and K (W-like). This nomenclature has the further advantage of neutrality in the difficult issue of whether cats, tree shrews, primates, and perhaps other mammals inherited X, Y, and W classes from a common ancestor, or evolved similar classes of retinal and geniculate neurons independently (see Kaas, 1986).

### 3.2. Laminar Terminations

The laminar patterns of terminations in VI of primates have been revealed in several ways, including the use of eye injections of tracers to indirectly label geniculocortical axons via transneuronal transport, direct geniculate injections of various tracers, and by injections of horseradish peroxidase (HRP) in the white matter just beneath the cortex to label single axons and small groups of axons. The methods complement each other and provide a reasonably detailed picture of termination patterns in prosimian galagos, New World squirrel and

owl monkeys, and Old World macaque monkeys. Only limited information is available for hominoids (apes and humans), but the patterns seem to be similar across primate taxa, so that supportable inferences can be made for hominoid primates. We begin here with a description of the general pattern of LGN projections to layers of cortex, and in a later section consider the details of axon arbor morphology.

The basic laminar patterns of geniculate terminations for prosimians and New and Old World simians are illustrated in Fig. 4. In galagos, geniculate axons terminate in layers IV, VI, III, and I (Glendenning *et al.*, 1976; Casagrande and DeBruyn, 1982; Florence *et al.*, 1983; Diamond *et al.*, 1985; Florence and Casagrande, 1987, 1990; Lachica and Casagrande, 1992). The projections to layer IV are the most obvious. They include M cell inputs to layer IV $\alpha$  where they spill over somewhat into inner layer IIIc, perhaps to terminate on the dendrites of neurons in layer IV $\alpha$  that extend into layer IIIc, or directly on layer IIIc neurons. P cells terminate in layer IV $\beta$  and K cells terminate in the CO blobs of layer III and in layer I. Some M and P cells also send a minor projection to layer VI.

In New World monkeys, laminar patterns of geniculate inputs into V1 have been studied in squirrel monkeys (e.g., Tigges *et al.*, 1977; Hendrickson *et al.*, 1978; Rowe *et al.*, 1978; Livingstone and Hubel, 1982; Fitzpatrick *et al.*, 1983; Weber *et al.*, 1983), cebus and spider monkeys (Hendrickson *et al.*, 1978; Florence *et al.*, 1986), owl monkeys (Kaas *et al.*, 1976; Rowe *et al.*, 1978; Diamond *et al.*, 1985; Pospical *et al.*, 1993), and marmosets (Spatz, 1979, 1989; DeBruyn and Casagrande, 1981). Laminar patterns of terminations appear to be quite similar in all of these primates. In squirrel monkeys, which have been studied more extensively, geniculate inputs are most dense in layer IV. Sparser terminations

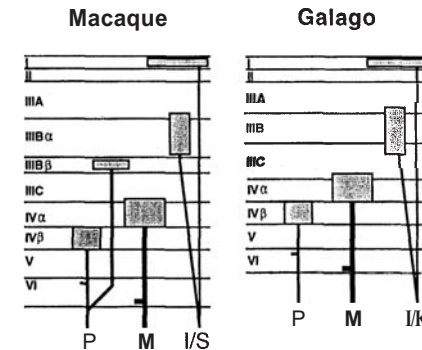


Figure 4. Schematic illustrations of the patterns of LGN axon terminations within the cortical layers of a diurnal Old World simian (macaque monkey) and a nocturnal prosimian (galago). The cortical layers are indicated using a modification of Hässler's nomenclature. The LGN relay cell pathways to cortex are indicated by: K, koniocellular [this designation includes the intercalated interlaminar (I), and superficial (S) layer cells]; M, magnocellular; P, parvocellular.

are coextensive with the CO blobs in layer III, and in layer I. As in galagos, the P geniculate cells terminate in layer IV $\beta$ , the M cells in layer IV $\alpha$ , and the K (interlaminar) cells in the CO blobs of layer III and layer I. In addition, and unlike galago, there is a clear projection of P cells to the inner part of layer IIIB (referred to here as layer IIIB $\beta$ ). Terminations in layer VI have not been conclusively demonstrated or ruled out.

Geniculate projection patterns in other diurnal New World monkeys have not been fully studied, but they appear to be similar to those in squirrel monkeys. These projections have been more extensively investigated in the nocturnal owl monkeys, where there is again the pattern of P cell inputs to layer IV $\beta$ , M cell inputs to layer IV $\alpha$ , and K cell inputs to layer III blobs and layer I. A difference, however, is that there is no obvious P cell input to layer IIIB $\beta$ , which also does not appear as clear as a sublayer in Nissl preparations as it does in diurnal squirrel monkeys (Diamond *et al.*, 1985). Thus, the P cell terminations in layer IIIB $\beta$  are a feature of some, but not all, New World monkeys.

Most of what is known about geniculate termination patterns in Old World monkeys depends on studies of macaque monkeys (e.g., Hubel and Wiesel, 1972; Wiesel *et al.*, 1974; Hendrickson *et al.*, 1978; Livingstone and Hubel, 1982; Blasdel and Lund, 1983; Freund *et al.*, 1989). Again, M cells terminate in layer IV $\alpha$ , P cells in layer IV $\beta$ , and K cells in layer III blobs and layer I. In addition, P cells terminate densely in layer IIIB $\beta$  and some M cells and a few P cells appear to produce collateral branches that terminate sparsely in layer VI. As in galagos, the majority of direct input to layer VI appears to come from the M cell pathway.

While it has been difficult to obtain information on geniculate terminations in hominoid primates, Tigges and Tigges (1979) managed to make an eye injection and use transneuronal transport methods to determine laminar patterns of geniculate terminations in a chimpanzee that had suffered a massive stroke and had to be euthanized. Dense projections were found in layer IV, and some labeling was present in layer VI. No terminations were apparent in layers III or I, which could reflect technical difficulties, and thus the existence of such inputs in chimpanzees is still uncertain. In humans, thalamocortical terminations have been revealed in layer IV of area 17 by using silver stains in the brains of patients who died after lesions of the thalamus (Miklossy, 1992). Taken together, these results reinforce the view that there are basic similarities in the geniculate termination patterns across primate taxa. Yet, in hominoids there is no certain evidence that M and P cells terminate in different subdivisions of layer IV, or that terminations consistently exist in any of the other layers.

### 3.3. Ocular Dominance Columns

In many primates (see Florence *et al.*, 1986; Florence and Kaas, 1992, for review) geniculocortical inputs activated by one eye are largely separate from those activated by the other eye, forming bandlike termination zones that have been referred to as ocular dominance columns. These zones of input are more appropriately called ocular dominance bands in keeping with their shapes as viewed from the brain surface (Fig. 5). Ocular dominance bands do not occur in all primates. Moreover, they do not reflect the ancestral condition, since they are not found in other archonon mammals such as tree shrews (Casagrande and Harting, 1975; Hubel, 1975; Kaas and Preuss, 1993) or most other mammals,

including those with well-developed visual systems such as squirrels (Weber *et al.*, 1977). However, ocular dominance bands are found in carnivores such as cats (e.g., Löwel and Singer, 1987; Anderson *et al.*, 1988), ferrets (Redies *et al.*, 1990), and mink (LeVay *et al.*, 1987), and weakly in sheep (Clarke and Whitteridge, 1976; Clarke *et al.*, 1976; Pettigrew *et al.*, 1984) and they may exist in other unexamined taxa. The general lack of ocular dominance bands in mammals, and the presence of such bands in members of the primate, carnivore, and artiodactyl orders indicates that bands have evolved independently in at least three groups. It also appears from the distribution of such bands across primates that distinct bands have evolved, perhaps from a weak tendency, in both New World and Old World monkeys.

Ocular dominance bands are clearly expressed in all Old World monkeys examined. They have been most extensively studied in macaque monkeys, where they were described originally using physiological techniques (Hubel and Wiesel, 1968). Subsequently, they have been revealed by a number of techniques including transneuronal transport of  $^3\text{H}$ -labeled amino acids injected in the eye, fiber stains and changes in the relative density of CO staining following blockade of activity from one eye (see Florence and Kaas, 1992, for review). Most recently, they have been revealed by looking at the down-regulation of immediate early gene expression following enucleation (Chaudhuri *et al.*, 1992) and by various

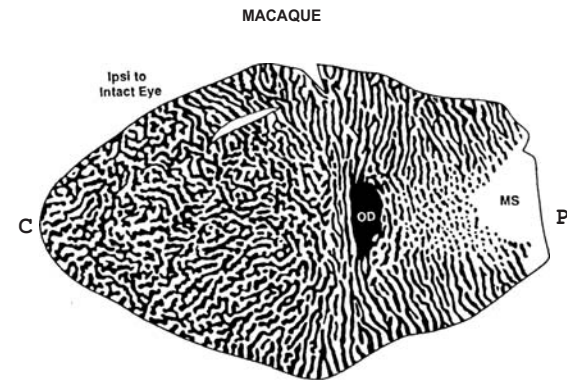
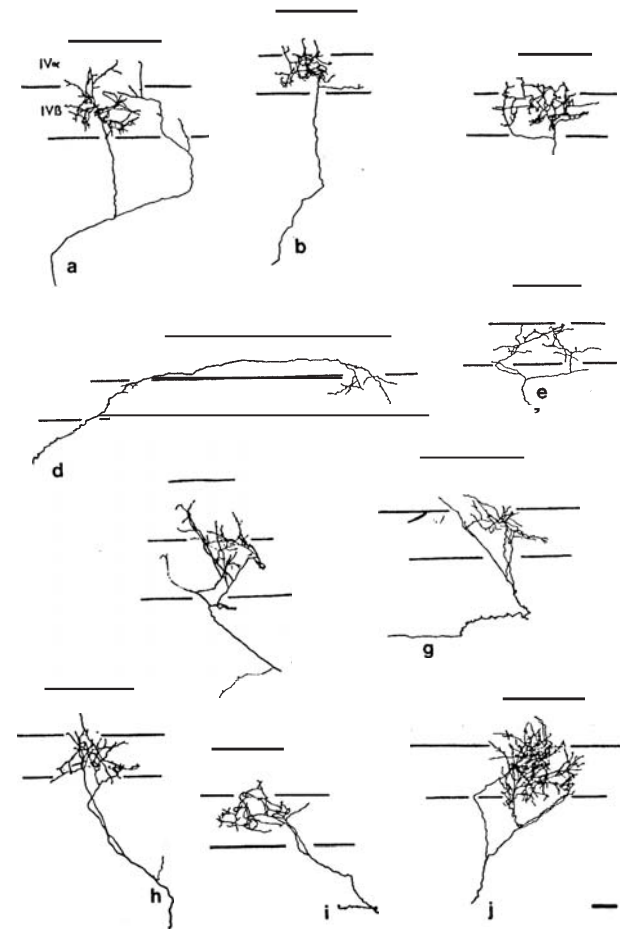


Figure 5. The complete pattern of ocular dominance bands in area 17 of a macaque monkey (*Macaca fascicularis*). The dark cytochrome oxidase regions relate to the intact ipsilateral eye (black) and light CO regions (white) relate to the suppressed contralateral eye. The figure is based on a complete reconstruction of area 17 from artificially flattened cortex cut parallel to the surface. The black oval corresponds to the projection of ipsilateral retina matched by the retina-free optic disk in the contralateral eye. The large, white area on the right corresponds to the monocular field with input only from suppressed contralateral eye. Central vision is on the left. Bar = 1 mm. Modified from Florence and Kaas (1992) with permission.

optical imaging techniques (Frostig, 1993). Although the patterns are not revealed in equal detail by each technique, the basic features revealed are very similar. Most of these features are clearly appreciated on a reconstructed representation of a flattened surface view of layer IV of V1 (e.g., LeVay *et al.*, 1985; Fig. 5). The segregation of ocular inputs in these preparations demonstrates a number of typical features: (1) The segregations occur in short bandlike segments that fuse, branch, and terminate in an irregular pattern that is similar, but nevertheless varies in detail from hemisphere to hemisphere in the same animal, and across animals. (2) The segregation involves both M and P pathways to IV $\alpha$  and IV $\beta$ , and the P pathway to IIIB $\beta$ . Since CO blobs are aligned with ocular dominance bands (see earlier), K inputs to blobs may demonstrate ocular segregation as well. (3) Bands are more nearly parallel and branchless in parts of V1 representing central rather than peripheral vision. The bands break down into a pattern of dots for the ipsilateral eye and larger surrounds for the contralateral eye in cortex devoted to peripheral vision, (4) Bands vary in width from central to peripheral vision with a slight decrease in average band width, and a progression from equal bands to larger bands and then surrounds for the contralateral eye. (5) Bands vary in width in different species, with the smallest bands for the small Old World talapoin monkeys and the largest bands for humans. This would suggest a relationship between body, or brain size, and band width, but since galagos (which have smaller brains) have larger ocular bands on average than talapoin monkeys, the relationship does not appear to hold across all species (see also above discussion on CO blobs, and Condo and Casagrande, 1990).

A frequent, although debatable assumption is that band width is related to the sizes of functionally significant processing units in cortex, such as hypercolumn size (see Florence and Kaas, 1992, for review). One might thus infer that processing units would vary in size across visual cortex and across species. On the other hand, this is still only inference because the arrangement of bands may be related to an original balance of developmental factors that do not relate directly to adult function (Kaas, 1988; Purves and LaMantia, 1990).

Ocular dominance bands seem to be a basic feature of all higher primates. They have been reported for humans (Hitchcock and Hickey, 1980; Horton and Hedley-Whyte, 1984; Wong-Riley *et al.*, 1993), chimpanzees (Tigges and Tigges, 1979), and all Old World monkeys examined (see Florence and Kaas, 1992, for review). There are no anatomical signs of ocular dominance bands in normal squirrel monkeys, although weak ocular periodicity has been reported from a physiological study in normal adult squirrel monkeys (Hubel and Wiesel, 1968) and there appears to be a slight tendency for anatomically defined ocular segregation after monocular rearing in this species (Tigges *et al.*, 1984). Owl monkeys and marmosets have only a weak tendency for ocular segregation (Kaas *et al.*, 1976; Rowe *et al.*, 1978; DeBruyn and Casagrande, 1981; Diamond *et al.*, 1985; Spatz, 1989), while larger New World monkeys such as *Cebus* (Hess and Edwards, 1987; Rosa *et al.*, 1988) and *Ateles* (Florence *et al.*, 1986) have obvious ocular dominance bands. Among prosimian primates, only galagos have been studied, and only a weak ocular periodicity has been demonstrated anatomically (Glendenning *et al.*, 1976; Casagrande and DeBruyn, 1982). However, recent physiological investigation of galago V1 suggests a much stronger ocular segregation (DeBruyn *et al.*, 1993). This difference raises a note of caution given that many studies of ocular segregation have used transneuronal transport of tracers that could diffuse across LGN layers, especially in species with narrow



LGN layers. Such technical artifacts probably do not account for major phyletic differences in ocular segregation, but more subtle differences should be verified with more than one method. The tendency for LGN axons to segregate into ocular dominance bands may be directly related to brain size (see Rosa *et al.*, 1988) or other factors indirectly related to size such as eye separation and ocular disparity (see Florence *et al.*, 1986). This issue is complicated by a surprising lack of data on what ocular dominance columns actually contribute to visual function, and the fact, as mentioned above, that several species, such as tree shrews and squirrels, have excellent visual performance without ocular dominance columns.

### 3.4. Axon Arbors

In general, across mammals, axon arbors that terminate in layer IV constitute the majority of inputs to area V1. They originate from the larger geniculate cells with thicker axons, and they branch profusely in layer IV forming a dense array of synaptic swellings or boutons. In cats (Humphrey *et al.*, 1985b) and tree shrews (Muly and Fitzpatrick, 1992; Usrey *et al.*, 1992), these larger LGN cells can generally be subdivided in two groups that exhibit either small, single, more compact arbors (the X class in cats) or larger sprawling arbors that sometimes terminate in separate patches (the Y class in cats). These arbors may extend into layer III, and both classes may have branches that terminate in layer VI. In cats the arbors of X and Y cells overlap extensively in layer IV (Humphrey *et al.*, 1985a). Moreover, in cats Y axons project outside of area 17, particularly to area 18 (Humphrey *et al.*, 1985a); M axon arbors in primates do not appear to innervate extrastriate areas (however, see Benevento and Yoshida, 1981). Axons from the smallest LGN relay cell class (the W cells in cats) are thinner. As in primates, some of these thin axons terminate in layers III and I. However, the details of terminations of these W cells in area 17 in cats and tree shrews differ from those found in primates in several ways which are described in more detail below in the context of intrinsic cortical connectivity.

The main characteristics of geniculostriate axon arbors of the primate visual system are similar to those of cat and tree shrew. Arbors of individual axons have been described for several species including prosimian galago (Florence and Casagrande, 1987; Lachica and Casagrande, 1992), New World owl monkey (Pospical *et al.*, 1993), and Old World macaque monkey (Blasdel and Lund, 1983; Freund *et al.*, 1989). Detailed analysis of arbors of all three LGN classes has only been done in galagos. Other studies, however, have reported that, at least for P and M cells, the basic morphological characteristics of the arbors are similar. In galagos, the P cell axons produce small, single, dense terminal fields largely or exclusively restricted to layer IV $\beta$ . In both macaques and galagos, differences

Figure 6. Composite of P axons serially reconstructed from the calcarine fissure of striate cortex in lesser galagos showing the differences in their morphology. These axons ramify primarily in layer IV $\beta$  and occasionally (see f and h) project to layer VI. The axon designated d is unusual in that the axon trunk runs parallel to the cortical layers for a considerable distance before branching at the terminal focus. Comparison of the terminal arbor size of these axons with M axons in the same cortical area (Fig. 7) demonstrates a significant size difference. From Florence and Casagrande (1987) with permission.

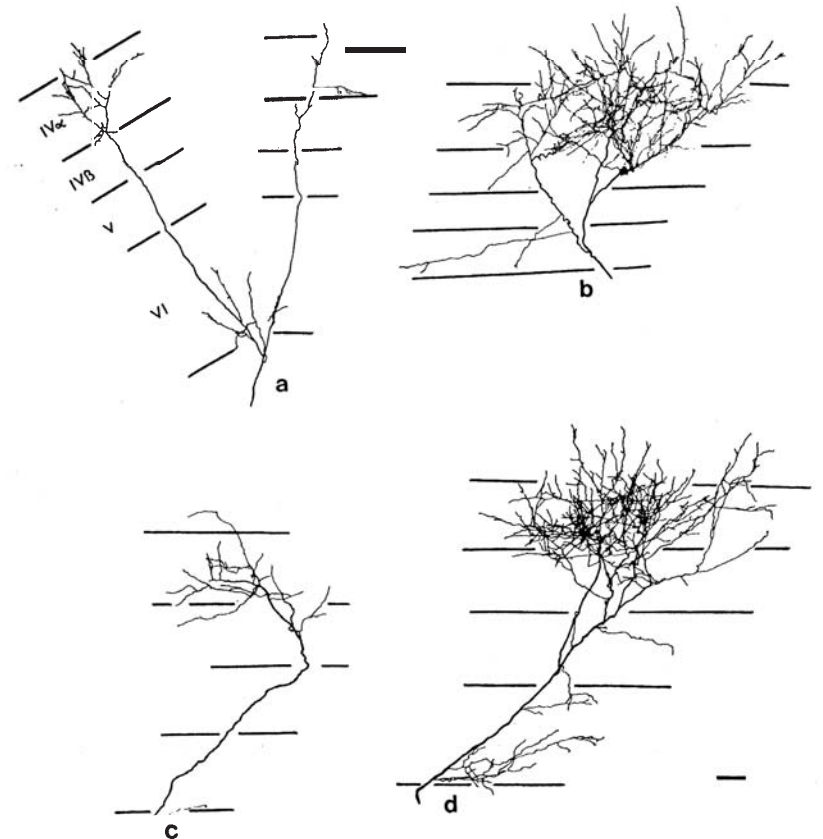


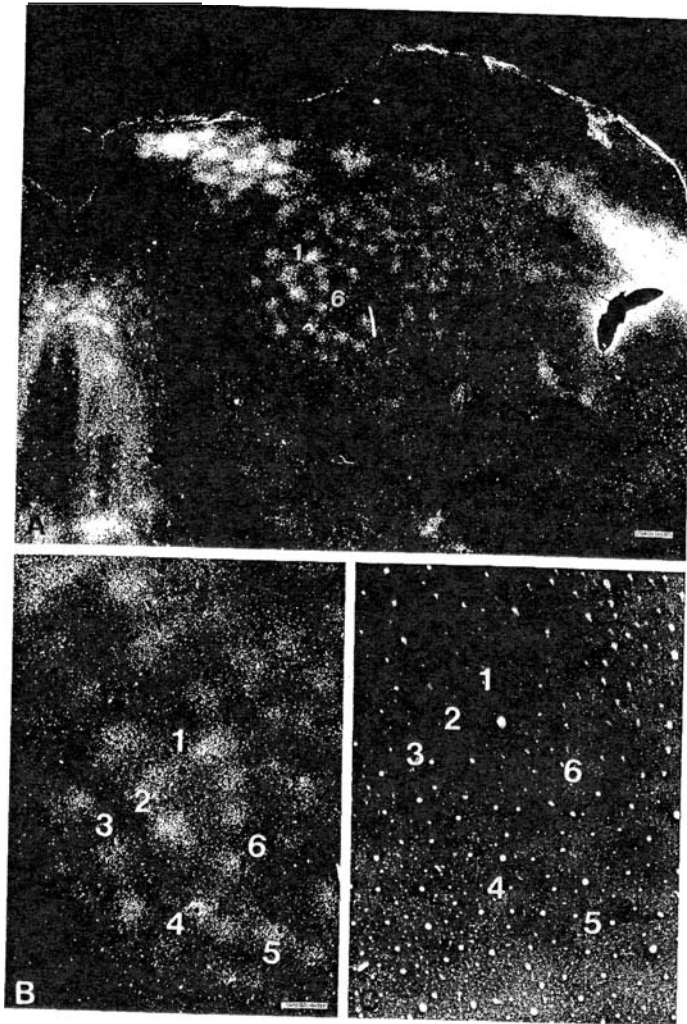
Figure 7. Composite of M axons serially reconstructed from the dorsal surface of striate cortex showing the extent of their variation in lesser galagos. Solid lines indicate borders of cortical layers; Roman numerals identify layers according to H $\ddot{a}$ ssler's nomenclature. Note that all axons arborize primarily in IV $\alpha$  but also project to layer III and to layer VI. In some cases, the course of the axon after reaching the white matter has not been illustrated to conserve space. Bar = 50  $\mu$ m. From Florence and Casagrande (1987) with permission.



have been reported in P cell arbors terminating in layer IV, but these differences probably reflect within-class variation (see Fig. 6). In macaque monkey, however, a separate type of P axon terminates in IIIB $\beta$  (Blasdel and Lund, 1983). This type of axon, which has a distinct morphology with a narrowly focused tangentially spreading arbor, is unique to those species which exhibit geniculate terminations in layer IIIB $\beta$  (Blasdel and Lund, 1983). In both galago and macaque monkey, the M arbors exhibit a more variable morphology than the P arbors and are, on average, significantly larger in area (Blasdel and Lund, 1983; Florence and Casagrande, 1987). Some M arbors spread broadly and extend branches into lower IIIC as well as occasionally into IV $\beta$ . Other M arbors are more narrowly confined to IV $\alpha$ . Still others bifurcate and terminate in two patches which are generally smaller in size than M arbors that terminate in a single patch (see Fig. 7). It is unclear whether these differences reflect actual subclasses or variation within a class (see Lund, 1988). Some M and occasional P axon arbors extend a few branches into layer VI. These branches appear to be restricted such that M arbors terminate primarily on cells in the lower half of layer VI, whereas P collaterals, when present, are restricted to the upper half of layer VI. Note that, unlike galago and macaque monkey, no collaterals were found to project to layer VI in owl monkey (Pospisil *et al.*, 1993). However, in galago and macaque monkey the arrangement of the projections to layer VI fits with the observation that projections to P and M layers tend to arise from cells in the upper and lower divisions of layer VI, respectively (Lund *et al.*, 1975; Lachica *et al.*, 1987).

Quantitative comparisons of the distributions of M and P axons in galago cortex show that both arbor types are significantly larger in the area of layer IV innervated in the zone of area V1 representing central vision than in cortex representing the visual periphery (Florence and Casagrande, 1987). Although such quantitative comparisons of axon area across layer VI are not available for other primate species, these size differences fit with the proposed proportional increase in magnification of the representation of central vision over peripheral vision in V1 versus in the LGN (see Florence and Casagrande, 1987, for discussion). These differences are also reflected in the relative sizes of ocular dominance columns and the average diameter of M arbors, although P arbors are on average much smaller than the width of an ocular dominance column. In addition, M and P arbors tend to be elongated parallel to ocular dominance columns in galagos. This relationship suggests that their shape may be constrained by binocular interactions in species that have ocular dominance columns. However, given that P arbors are much smaller than ocular dominance columns, anisotropies in shapes of arbors may simply reflect anisotropies in the topography of V1 (Tootell *et al.*, 1982; Van Essen *et al.*, 1984).

The K arbors have been described only in one primate, galago (Lachica and Casagrande, 1992). In this primate, all K axons terminate within CO blobs in layer III; no axons are found that terminate within interblob zones. This relationship can be appreciated by comparing the overall pattern of input from the K cells with the location of CO blobs on adjacent stained sections (Fig. 8). In addition to inputs to CO blobs, K arbors extend collateral branches to layer I where arbors spread tangentially over a broad zone. Branches in layer I clearly extend beyond the boundaries of underlying parent arbors in the CO blobs in layer III. Thus, K arbors could have transcompartmental influence via contacts with apical dendrites extending into layer I. Within a CO blob column, the focus of most K arbors is in cortical layer IIIB. However, some K arbors are centered



in either IIIA or IIIC (see Fig. 9). Thus, the K pathway is in a position to directly influence cells in the major pathways that project to extrastriate cortex that exit via layers IIIA or IIIC (see also below). The distribution of K arbors in cortex also reinforces the view that blob and interblob columns have different functions and that these differences may extend to cells in layers above and below the blobs. This view is further supported by differences in vertical intrinsic connections in blob and interblob cortex.

#### 4. Intrinsic Connections

The physiological properties of cells in V1 are very different from those seen at the level of the retina and LGN. Moreover, many properties seen in V1 for the first time can be identified in higher-order visual areas to which V1 projects. Therefore, it is clear that a key to understanding the functional significance of visual cortical organization lies in determining how inputs to V1 are transformed into new output streams which subservise different extrastriate visual areas. One way to begin to understand how inputs are transformed into outputs in V1 is to examine the details of its internal circuitry, or wiring. Presumably, aspects of such circuitry that are basic to all primates (as well as to other mammals) are of fundamental importance to visual cortical function. In keeping with the purposes of this chapter we begin with a review of these basic primate features of V1 circuitry. We then compare this organization with that seen in other mammals. Finally we examine features of intrinsic circuitry that appear to differ between primate groups.

##### 4.1. Background

The intrinsic circuitry of V1 of cortex has been examined in only a few primate species. The most extensive work has been done in macaque monkeys (e.g., Lund, 1988, 1990; see also Lund, this volume). In fact, many details of V1 cell morphology and connections have been described only in macaque monkeys. Recent studies, however, have begun to provide information on intrinsic connections in three other species, namely galagos, squirrel monkeys, and owl monkeys, and some information is now available for humans (i.e., Burkhalter and Bernardo, 1989; Miklossy, 1992). Several features of intracortical circuitry which relate V1 inputs to outputs appear to exist in all four of these primates. We consider these consistent features here.

Figure 8. (A) Low-magnification (caudal to the top, medial to the left), dark-field photomicrograph of striate cortex that has been flattened, cut tangential to the surface, and reacted for TMB histochemistry to reveal the patchy pattern of K-cell geniculostriate terminations in layer III. A higher-magnification photomicrograph of a small field marked in A (by Nos. 1 and 6) is shown in B. Blood vessels in B are identified by number so that they may be easily matched with blood vessels in C, which shows an adjacent section stained for cytochrome oxidase. Bars = 1 mm (A) and, 500  $\mu$ m (B, C). From Lachica and Casagrande (1992) with permission.

As described earlier, the main inputs to V1 arise from the LGN via three

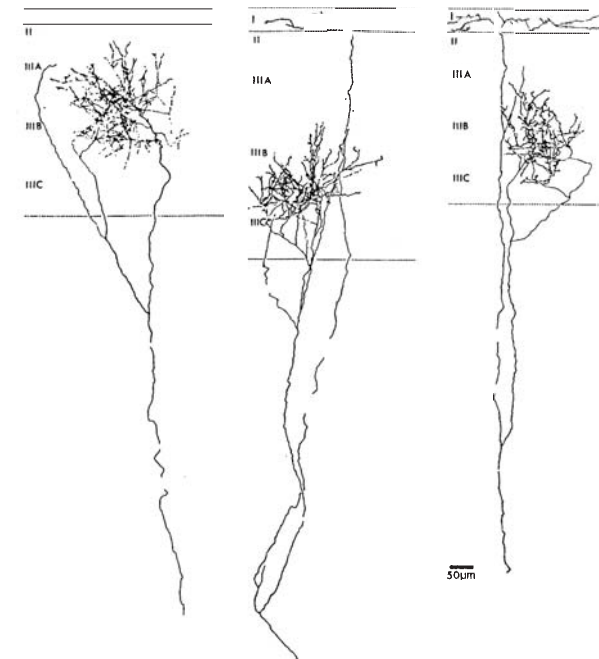


Figure 9. Reconstructions of three different varieties of PHA-L-labeled K geniculocortical axon arbors. The majority of K geniculostriate axons terminated in layer IIIB, and had collateral branches that arborized in layer I. Modified from Lachica and Casagrande (1992) with permission.

and interblobs, respectively, and each projects to separate zones within V2 (Rockland and Pandya, 1979; Tigges *et al.*, 1981; Livingstone and Hubel, 1984b, 1987; Krubitzer and Kaas, 1990b; Van Essen *et al.*, 1990; Rockland, 1992; see Lachica *et al.*, 1993, for review). There are also several major pathways to subcortical areas and these arise from infragranular layers V and VI, which project to several distinct zones in the thalamus, midbrain, and pons (see Kaas and Huerta, 1988).

#### 4.2. Basic Primate Plan

The intrinsic connections between the input and output pathways within primate V1 are exceedingly complex, as illustrated in the many elegant studies of Lund and colleagues in macaque monkeys (e.g., Lund, 1988, 1990; see Lund, this volume). Since the details of projections of individual classes of cells have been reviewed in detail recently (Lund, 1990; Henry, 1991), they will not be considered here. There are three major features of internal connections that have been consistently observed across primate species. First, most layers in V1 send and receive heavy vertical projections from several other layers as well as inputs from outside V1. Thus, the direction of flow of information is not strictly serial. For example, in macaque monkeys layer IV receives input from layers V and VI as well as from the LGN; layer IV, in turn, sends projections back to layer VI (itself an LGN target) and also to layers IIIB and IIIC, which themselves get input from layers V and VI (Blasdel *et al.*, 1985; Fitzpatrick *et al.*, 1985; Lund, 1987, 1990; Lachica *et al.*, 1992, 1993). In spite of these complexities, a consistent suborder can be discerned in specific circuits, particularly those that relate to the output pathways. Layer IIIC, which, as mentioned above, sends the largest projection to extrastriate area MT, receives its major projection directly from M-recipient layer IV $\alpha$  (see Fig. 10 and Fitzpatrick *et al.*, 1985; Lachica *et al.*, 1993). In contrast, layer IIIA, which provides the major output to area V2, does not appear to get any direct input from M- and P-recipient IV $\alpha$  and IV $\beta$ ; instead, signals from both M- and P-recipient divisions of layer IV appear to be initially relayed to IIIB, IIIC, and other layers before reaching IIIA (see Fig. 11 and 12 and Fitzpatrick *et al.*, 1985; Lachica *et al.*, 1992, 1993). This arrangement suggests that cells in IIIB may function as a set of interneurons specifically for the construction of new output signals in IIIA (Fitzpatrick *et al.*, 1985; Lachica *et al.*, 1992, 1993). Since layers IIIC and IIIA form the initial substrates for information entering the two proposed major processing streams for analysis of object location (or where) and object identification (or what), respectively (Ungerleider and Mishkin, 1982), the differences in intrinsic wiring of cells in IIIC and IIIA may offer clues concerning the initial coding of information for these basic visual functions. The lower layers also show some sublaminar specialization in all primates. Layer V exhibits a distinct thin subdivision at its upper border termed V $\alpha$  by Lund and colleagues (Lund, 1987). Layer V $\alpha$  appears to be particularly well developed in simian primates and has been described in macaque monkeys as a set of interneurons (Lund, 1987). This zone, unlike the remainder of layer V, shows connections with IV $\alpha$  (Lund, 1987; Lachica *et al.*, 1993) and also exhibits distinct connections with the remaining layers (Lund, 1987; Lachica *et al.*, 1993). Our data suggest that, in simian primates, the cells in the upper and lower halves of layer V show differences in connections with the CO blob and

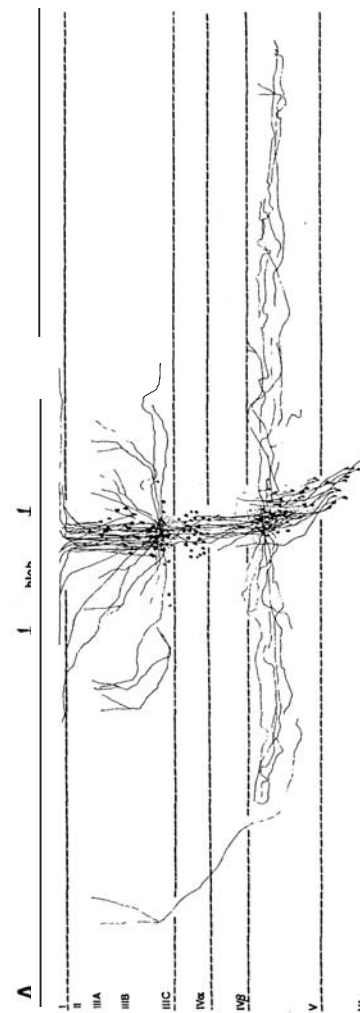


Figure 10. Camera lucida reconstructions showing labeled cells and axons following injections into blob (A) and nonblob (B) layer IIIC in galago. Note labeled cells are absent from layer IV $\beta$  following all injections into IIIC. Roman numerals indicate layers according to a modification of the nomenclature of Hässlér. Reproduced from Lachica *et al.* (1993) with permission. See text for details.

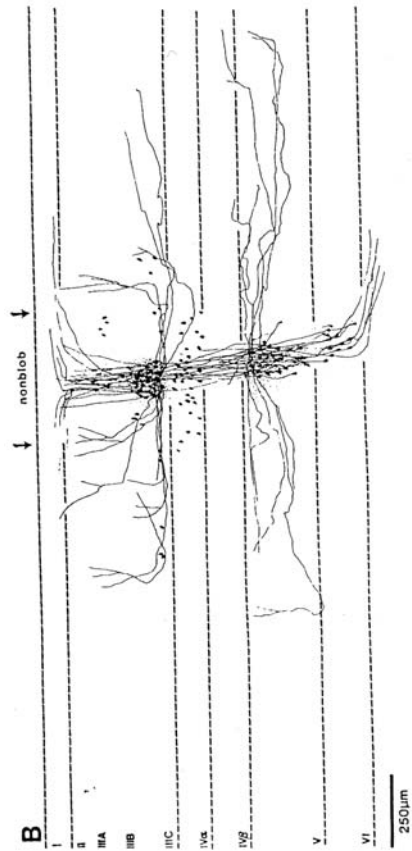


Figure 10. (Continued)

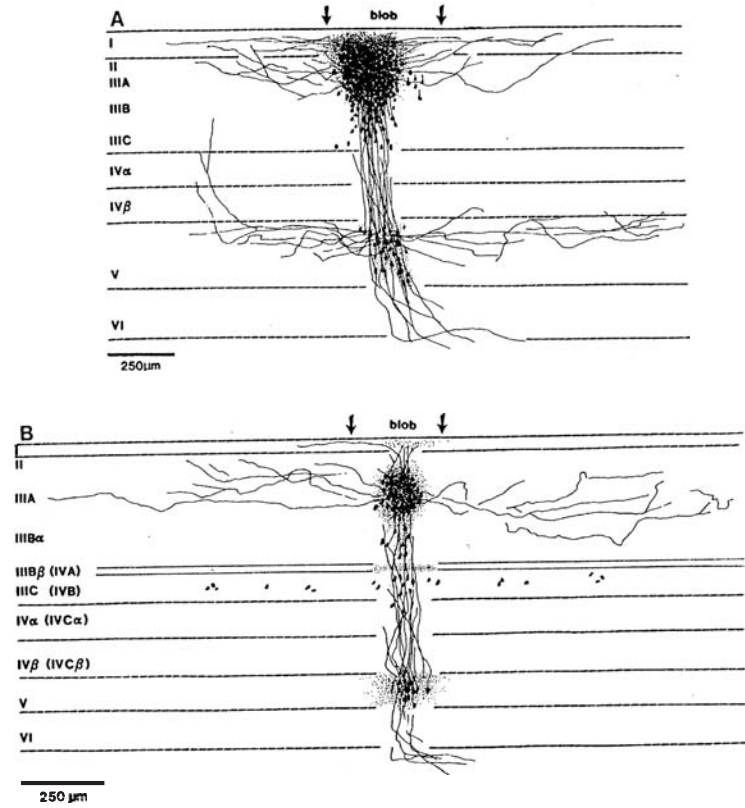
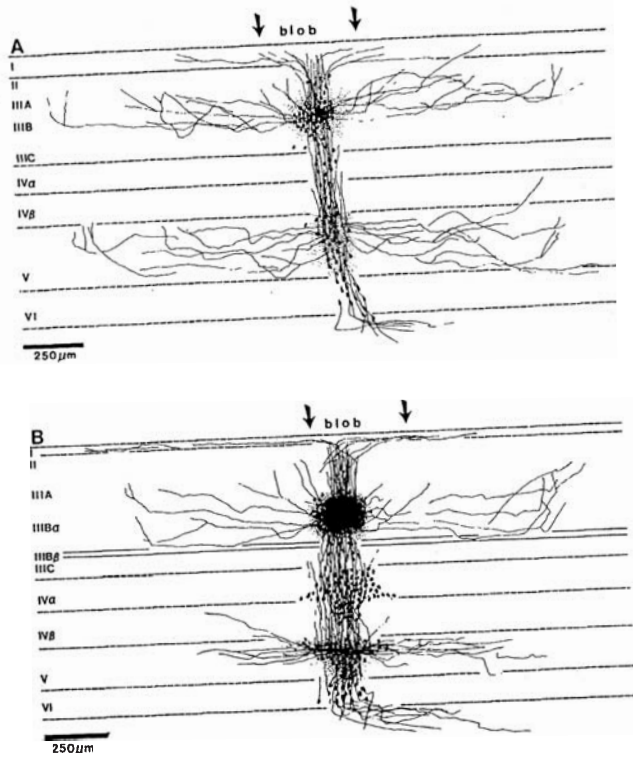


Figure 11. Camera lucida reconstructions showing labeled cells and axons following injections into blob IIIA in a galago (A) and a squirrel monkey (B). Arrows indicate the edges of a blob. Roman numerals indicate layers according to a mod-

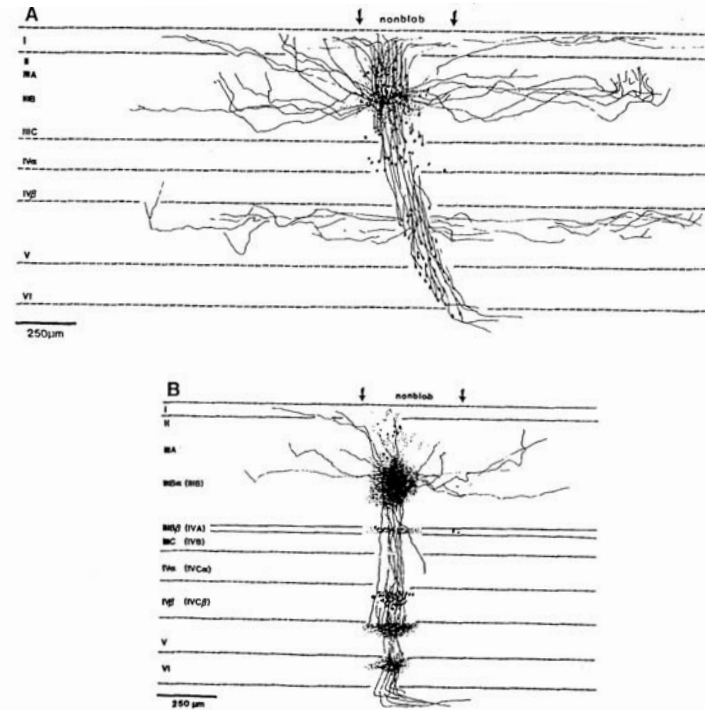
ification of the nomenclature of Hässler with Brodmann nomenclature in parentheses. Reproduced from Lachica *et al.* (1993) with permission.





**Figure 14.** Camera lucida reconstructions showing labeled cells and axons following injections into CO blobs in IIIB in a galago (A) and IIIB $\alpha$  in a squirrel monkey (B). Roman numerals indicate layers according to a modification of the nomenclature of Hassler. Reproduced from Lachica *et al.* (1993) with permission. See text for details.

form highly organized lattices of periodic connections principally, but not exclusively (see Malach *et al.*, 1992), between cells that occupy anatomically and physiologically similar compartments (e.g., connections between CO blobs in III; Rockland and Lund, 1983; Livingstone and Hubel, 1984b; Ts'o *et al.*, 1986; Cusick and Kaas, 1988b; LeVay, 1988). At present, it is still unclear what purpose these lateral interconnections serve. It has been suggested that they may form substrates for feature-linking (Gilbert *et al.*, 1991). Regardless, the presence of periodic tangential interconnections is a feature that has not been described at earlier stages of processing (i.e., the retina or LGN), but appears to be ubiquitous in V1 and other visual cortical areas to which V1 projects.



**Figure 15.** Camera lucida reconstructions showing labeled cells and axons following injections into nonblob IIIR in a galago (A) and nonblob IIIB $\alpha$  in a squirrel monkey (B). Roman numerals indicate layers according to a modification of the nomenclature of Hassler. Reproduced from Lachica *et al.* (1993) with permission. See text for details.

### 4.3. Nonprimate Pattern

Details of intrinsic cortical connections have only been described for area 17 or V1 of a few mammals. It is not our purpose here to provide an extensive review of detailed similarities and differences. Rather, in this section we examine some of the main features of patterns that other mammals share with primates as well as key points of difference. We restrict our review here primarily to cats and tree shrews.

All mammals appear to share several basic features of V1 organization with primates. Thus, as in primates, inputs from the LGN terminate principally in layer IV (see earlier). In addition, LGN inputs to layers VI and I as well as a portion of layer III have been widely described (e.g., Hendrickson *et al.*, 1978; Diamond *et al.*, 1985). However, there are clear species differences in the detailed organization of LGN inputs to cortex. Two examples make this point.

In cats, LGN cells have been classified in X, Y, and W cell types. However, as described earlier, X and Y cells project in an overlapping fashion to layer IV of area 17 (Humphrey *et al.*, 1985a). W cells appear to project to separate strata in cats, but unlike their counterparts in primates, these cells in cats project both to the upper half of layer V (Gilbert and Wiesel, 1981) and to layer I. In addition, a large number of LGN cells in cats send projections to visual areas outside of area 17 (see Sherman and Spear, 1982). The same appears to be true of other carnivores (e.g., ferrets and mink). Thus, unlike in primates, area 18 in carnivores gets a substantial projection from Y and WLGN cells, and WLGN cells also send axons to a number of visual areas beyond area 18 that are themselves targets of area 17 and/or 18 (e.g., Humphrey *et al.*, 1985b).

In tree shrews, as in primates, area 17 appears to be the major or almost exclusive target of LGN cell axons (Harting *et al.*, 1973; Casagrande and Harting, 1975; Hubel, 1975; Conley *et al.*, 1984; Usrey *et al.*, 1992). However, the organization of LGN layers and their projections to area 17 differ for tree shrews and primates. In tree shrews, X and Y cells have been described in the LGN (Sherman *et al.*, 1975). However, a unique feature of the LGN of tree shrews is the segregation of neurons that respond to the onset and offset of light in the centers of their receptive fields (ON-center versus OFF-center cells) (see Conway and Schiller, 1983; Holdefer and Norton, 1986). The tree shrew LGN has pairs of layers, one innervated by each eye, with layers for ON-center cells (layers 1 and 2) and layers for OFF-center cells (layers 4 and 5) as well as two contralaterally innervated layers (3 and 6) with physiologically distinct W-like cells. Projections of tree shrew LGN cells to cortex maintain the segregation of ON, OFF, and W-like cells. Unlike primates, the upper and lower divisions of layer IV receive separate projections from the ON- and OFF-center LGN layers, respectively, while the W-like layers each show a unique pattern of projections to a combination of layers including III and I (Conley *et al.*, 1984; Usrey *et al.*, 1992). Tree shrew geniculocortical projections to cortex also show a species-unique horizontally stratified pattern of ocular inputs such that ipsilateral inputs end in restricted bands at the top and bottom of layer IV and contralateral inputs extend throughout layer IV (Casagrande and Harting, 1975; Hubel, 1975; Conley *et al.*, 1984; Usrey *et al.*, 1992).

As with LGN inputs, there are features of area 17 intrinsic organization that appear to be common to primates and other mammals as well as features that are

not found in primates. As in primates, area 17 of other mammals is organized such that the supragranular layers provide major projections to extrastriate cortical areas and the infragranular layers send major projections out of cortex to sites in the thalamus, midbrain, and hindbrain (e.g., Henry, 1991). The latter projections appear to consistently involve a major pathway from cells in layer V to the superior colliculus and a major reciprocal pathway from layer VI to the LGN (see Swadlow, 1983, for review). Within the cortex itself, several intrinsic patterns have been reported in both primates and other mammals. Thus, layers IV and VI appear to connect; both are also direct targets of the main layers of the LGN. Also, layers V and III have heavy interconnections (see Henry, 1991). Finally, tangential periodic connections appear to be a characteristic feature of V1 in at least some primate and most nonprimate mammals (Rockland *et al.*, 1982; Sesma *et al.*, 1984; Burkhalter and Charles, 1990).

Since one function of area 17 is to combine information from parallel LGN inputs to construct appropriate output channels, the differences in intrinsic circuitry appear to relate to differences in the organization of output pathways. For example, in tree shrews the projections from layer IV to layer III have three separate tiers of innervation within layer III (see Muly and Fitzpatrick, 1992). However, this organization is very different from that just described for primates. In tree shrews, the projections of layer IV to layer III reflect the segregation of ocular dominance and the segregation of ON- and OFF-center cells within layer IV. Muly and Fitzpatrick (1992) have postulated that the differential projections from layer IV to layer III may help to combine ON- and OFF-center pathways in a way that preserves ocular bias. The only similarity to primate organization is that in both tree shrews and primates, stratification in layer IV is reflected in differential patterns of projections within layer III. It is unclear whether the same rule applies to cats where projections from different cell types largely overlap in layer IV. However, it does appear that in cats, cells in the lower portion of layer III project heavily to area 18, while output cells that lie in the more superficial portion of layer III send projections to separate extrastriate visual areas (Symonds and Rosenquist, 1984). The latter observation suggests that functionally relevant stratification may commonly exist in layer III and that its organization may reflect specializations both in the input and in the output pathways.

### 4.4. Primate Variations

As might be expected from the diversity and behavioral adaptations in primates, some species differences in the intrinsic connections of V1 have been reported. Although only a few studies have addressed the issue of primate species differences in intrinsic circuitry, three observations stand out. First, comparisons of organization of V1 in prosimian galagos (*Galago*) with that of the New and Old World simians, squirrel monkeys, owl monkeys, and macaque monkeys (*Saimiri*, *Aotw*, and *Macaca*), suggest that V1 in galagos is less differentiated and compartmentalized. In Nissl-stained sections, laminar boundaries appear less distinct in galagos than in the three simian primates (see Fig. 1). Cells giving rise to the major output pathways in galagos also appear slightly less precisely confined to subdivisions in layer III than do output cells in simians. In

addition, sublamina distinctions of intrinsic connections of layers V and VI with substrata and CO compartments of layer III appear quite distinct in macaque monkeys and squirrel monkeys even though these connections appear only as gradients in galagos (Blasdel *et al.*, 1985; Lachica and Casagrande, 1992, 1993). These species differences may also reflect nocturnal–diurnal adaptations since the intrinsic connections of subdivisions of layers V and VI in the nocturnal simian owl monkeys are also less sharp than those of their diurnal New World squirrel monkey cousins (Casagrande *et al.*, 1992; see also Fig. 12).

Nocturnal–diurnal specializations appear to correlate with a second difference in intrinsic V1 connectivity in primates. In the diurnal macaque monkeys and squirrel monkeys, cells in the CO-poor interblob zones receive connections indirectly via IV $\beta$  from the LGN P pathway, whereas in nocturnal owl monkeys and galagos these zones receive connections indirectly mainly via IV $\alpha$  from the M LGN pathway (see Figs. 12 and 15 and Lachica *et al.*, 1992, 1993). Note that differences in the relative strength of input and output pathways have also been found to correlate with nocturnal–diurnal niche specialization. Thus, the ratio of P to M LGN cells in areas of the LGN devoted to central vision is lower for nocturnal primates [e.g., 40 to 1 for macaque monkey and 4 to 1 for galago (Connolly and Van Essen, 1984; Florence and Casagrande, 1987)]. Differences may simply reflect acuity differences between nocturnal and diurnal species (Langston *et al.*, 1986). However, species differences are also seen in the proportion of tissue devoted to homologous extrastriate areas (see next section). Relevant to the present argument is the fact that nocturnal primates have proportionately larger amounts of tissue devoted to the middle temporal visual area (MT) than do diurnal primates (Krubitzer and Kaas, 1990b). Since area MT receives M-dominated input via layer IV $\alpha$ , it may be that M-pathway signals provide information that is particularly important to vision in dim illumination (see also discussion of this point in Lachica *et al.*, 1993). Alternatively, it may be more appropriate to conclude that the P pathways are expanded and more important in diurnal primates.

A third species difference in V1 intrinsic connectivity relates to differences in cortical laminar specialization. In the diurnal catarrhine simians (e.g., macaque monkeys and other Old World monkeys), as well as some diurnal platyrrhine simians (e.g., squirrel monkeys), a thin subdivision of layer III $\beta$ , III $\beta$  $\beta$ , receives a specialized input from the P layers of the LGN. As discussed earlier, III $\beta$  $\beta$  is absent in prosimian primates. It is weakly evident in some species even when LGN input is lacking, as is the case in the owl monkey (see Fig. 1). In any case, in species that possess a distinct III $\beta$  $\beta$  that receive P LGN input (squirrel monkeys and macaque monkeys), it is clear that this sublayer has intrinsic connection patterns with other cortical layers that are distinct from the connections of the remaining sublayers of layer III (III $\alpha$ , III $\beta$  $\alpha$ , and III $\alpha$ ) (see Lund and Yoshioka, 1991; Peters and Sethares, 1991b). At present, it is unclear why some simians possess a well-developed layer III $\beta$  $\beta$ , while others do not. No differences in the LGN laminar location of P cells that innervate III $\beta$  $\beta$  versus IV $\beta$  have been identified. Physiological studies suggest that cells in III $\beta$  $\beta$  of macaque monkeys reflect P inputs from the LGN as do cells in IV $\beta$ , yet again no distinctions between these two layers have been reported that would help to identify why the P LGN cells split their input to V1 to two layers in some primate species and not others.

## 5. Ipsilateral Cortical Connections

In all primates examined, V1 projects to several extrastriate areas that are similar in location and which presumably constitute homologous visual areas across primate taxa (see Fig. 16). However, this conclusion is not straightforward, since the terminologies used by different investigators vary and schemes for subdividing extrastriate cortex in primates vary across species. The major cortical target of V1 is the second visual area, V2 or area 18, a visual area present in most or all mammals. A second target is the middle temporal visual area, MT, also known as the superior temporal area or V5. A third target is the dorsomedial area, DM, also known as dorsal V3 and V3a. A fourth target is the

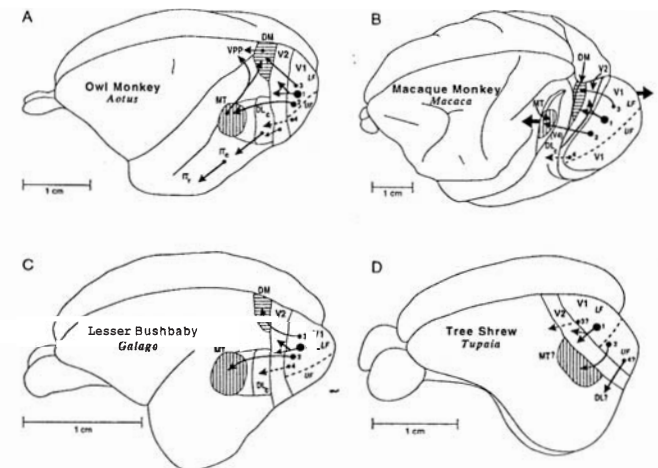


Figure 16. Projections of V1 to other visual areas in primates and tree shrews. All primates appear to have projections to the second visual area, V2, the middle temporal visual area, MT, and the dorsomedial visual area, DM (solid arrows 1–3 with dot size and arrow thickness reflecting the proportions of the projections). In B the large filled arrows indicate where sulci are opened to reveal areas which are normally buried from view within sulci (e.g., MT). The lateral part of V1 representing central vision also projects to the caudal division of the dorsolateral complex, DL<sub>c</sub> (dashed arrow 4). Tree shrews (D) are close relatives of primates and they have some of the primate patterns of V1 projections. As in all mammals, V1 projects directly to V2 of tree shrews (projection 1). Other projections (2) are to a lateral field that adjoins V2, but may be the primitive location of the precursor of MT (see Kaas and Preuss, 1993). Other projections are to cortex that may correspond to DM (3) and DL (4) in primates. In V1 of A–D, a dashed line divides the representation of the lower field (LF) from the upper field (UF) in V1. In A (owl monkey), additional projections from MT to DM and ventral posterior parietal cortex (VPP) are shown to illustrate parts of the dorsal stream (Ungerleider and Mishkin, 1982) of processing, while projections from DL<sub>c</sub> to caudal (IT<sub>c</sub>) and then to rostral (IT<sub>r</sub>) divisions of inferior temporal cortex reflect parts of the ventral stream (Weller and Kaas, 1987). These streams appear to exist in all primates.



caudal division of the dorsolateral complex or DL<sub>c</sub>, also known as V4. Other targets have been inconsistently reported and may be species-variable, individually variable, or artifactually-labeled false positives.

### 5.1. Connections with V2

In all primates studied, the majority of the cortical projections of V1, perhaps 80% or more, are to the second visual area, V2. The architectonic equivalent of V2 is often considered to be area 18 of Brodmann (1909), but since Brodmann distinguished area 18 as a field that varied in extent across species, from closely corresponding to V2 in a New World monkey to being nearly twice as large in an Old World monkey, the identification of area 18 with V2 can be misleading. Here we define area 18, in contrast to the historical area 18, as the field that is coextensive with a systematic, second-order representation of the contralateral visual hemifield or V2 (Allman and Kaas, 1974).

A complication that may have hindered earlier attempts at an architectonic description of V2 is that the field is not structurally homogeneous. In many mammals, V2 appears to be modularly organized so that the density of myelination and connections with V1 and with the other hemisphere are unevenly distributed (e.g., Kaas *et al.*, 1979; Sesma *et al.*, 1984; Cusick and Kaas, 1986b). However, this modular specialization seems more pronounced in simian primates. The architectonic manifestations of the modular organization of V2 are most apparent in brain sections from cortex that have been stained for CO or myelin (Fig. 17; Krubitzer and Kaas, 1989). In such preparations, V2 can be identified by a series of dense CO bands separated by light CO bands, the CO interbands. The bands are roughly perpendicular to the V1/V2 boundary and they span the width of V2. When V2 is characterized by these bands, the area can be seen as a long, narrow belt along approximately 90% of the outer border of V1 and occupying a surface area less than V1 (Krubitzer and Kaas, 1990b). The bands shorten and the field narrows in the middle of the belt in the portion representing central vision, and toward the ends of the belt, representing the extremes of peripheral vision of the upper and lower quadrants (see Allman and Kaas, 1974; Gattass *et al.*, 1981). Although it is difficult to obtain brain sections where all the bands are evident, it appears that owl monkeys have roughly 30–40 CO-dense bands and the same number of interbands (e.g., Kaas and Morel, 1993) while macaque monkeys have about 56 CO-dense bands (Van Essen *et al.*, 1990). The interbands are further distinguished by dense myelination, so that CO and myelin procedures reveal patterns of dense staining (Fig. 17; Krubitzer and Kaas, 1990a).

The CO-dense bands have been subdivided into two alternating types. One set of bands projects to MT (e.g., Weller *et al.*, 1984; DeYoe and Van Essen, 1985; Ship and Zeki, 1985) and another set of bands projects to caudal DL (DL<sub>c</sub>) or caudal V4 (DeYoe and Van Essen, 1985; Shipp and Zeki, 1985; Cusick and Kaas, 1988a). In macaque monkeys, the set of bands projecting to MT have been called “thick” bands, and the set projecting to DL, (V4<sub>c</sub>) “thin” bands (Livingstone and Hubel, 1982), but the widths of the bands do not seem to reliably indicate the type of projection in macaque monkeys (e.g., Van Essen *et al.*, 1990). In macaque monkeys and apparently humans (Hockfield *et al.*, 1983), the monoclonal antibody Cat-301 preferentially labels bands projecting to MT (Hockfield *et al.*,

1983; DeYoe *et al.*, 1990). In some New World monkeys, the thinner bands appear to project to MT, whereas in other New World simians the bands are not notably different in width (see Fig. 17 and Krubitzer and Kaas, 1990b). Thus, we define the bands projecting to MT as MT bands or dorsal stream bands, because they send information to MT and subsequently into the parietal lobe, and the bands projecting to DL<sub>c</sub>-V4<sub>c</sub> as ventral stream bands because information from DL<sub>c</sub>-V4<sub>c</sub> is sent into a hierarchy of visual areas in the temporal lobe (Ungerleider and Mishkin, 1982). We avoid the terms M bands and P bands (see DeYoe and Van Essen, 1985) to refer to these zones in V2 since the terms M and P imply that LGN input pathways can be equated with specific V1 output pathways or their functions. As discussed previously, cells in the output streams leaving V1 have very different properties from the M, P, or K input cells of the LGN, and this difference undoubtedly reflects the complex intrinsic circuitry of V1. The CO-dense bands have an internal structure, so that at high magnifications they may

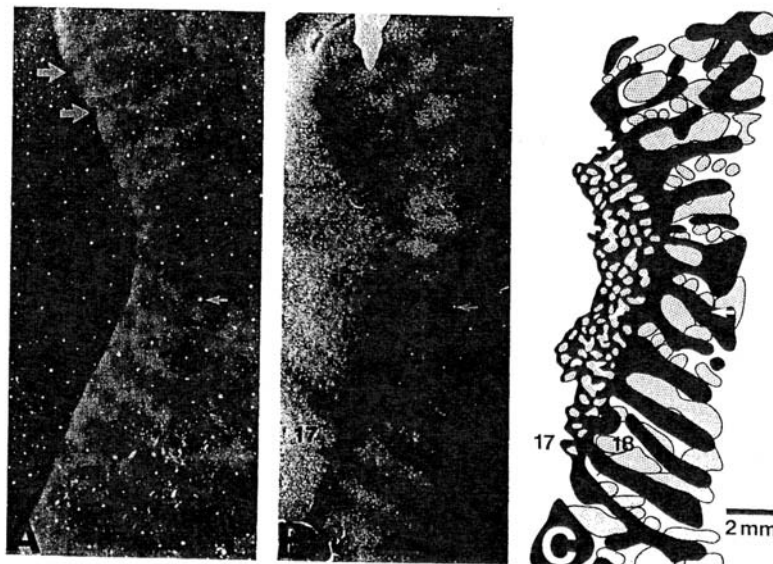


Figure 17. Pattern of cytochrome oxidase (CO) and myelin-dense regions in areas 17 and 18 of squirrel monkeys. (A) A section stained for CO cut at the level of layer IV parallel to the surface of flattened cortex. Layer IV stains densely in area 17, while alternating CO-dense and light bands characterize area 18. Large arrows mark two CO-dense bands. (B) A slightly more superficial section stained for myelin.

In area 17, denser myelination surrounds and outlines CO blobs and adjacent sections, the myelin-dense bands in area 18 correspond to the CO-light or interbands of panel A. (C) A schematic with the CO-dark (shaded) and myelin-dark (black) regions superimposed. A small arrow in A-C marks one of the blood vessels used to align brain sections. From Krubitzer and Kaas (1989) with permission.

appear as rows of CO-dense patches that nearly fuse (Carroll and Wong-Riley, 1984; Cusick and Kaas, 1988a; Tootell and Hamilton, 1989; Krubitzer and Kaas, 1990b). Finally, CO-dense stripes in V2 appear to be absent or poorly developed in prosimians (Condo and Casagrande, 1990; Krubitzer and Kaas, 1990b; Preuss *et al.*, 1993), although handlike patterns of connections indicate that V2 is functionally organized in a similar manner in both simian and prosimian primates.

The outputs of V1 are related very clearly to the banding pattern in V2. Small injections of tracers into the blob regions of V1 label neurons and terminals in the ventral stream bands of V2 while injections in the interblob regions label the pale interbands (Livingstone and Hubel, 1984a). Output neurons to V2 originate in layer IIIA of V1 (Rockland and Pandya, 1979; Lund *et al.*, 1981; Tigges *et al.*, 1981; Rockland, 1992) and from a few neurons in layers V and VI, at least in macaques (Kennedy and Bullier, 1985). Small injections in V2 indicate that neurons in these locations project to the ventral stream bands and interbands (Livingstone and Hubel, 1987). In contrast, injections in the dorsal stream bands of V2 label neurons in IIIC of V1 (Livingstone and Hubel, 1987). As a result of these patterns of connections, randomly placed injections in V2 can label different modules in V1 depending on the bands affected by the injections (Cusick and Kaas, 1988a). Thus, sections parallel to the brain surface and through layer III of V1 can reveal zones of labeled neurons and fine processes in CO blobs or interblob regions in a pattern that reflects the involvement of ventral stream bands or interbands (Fig. 18).

Because of the modular nature of V2, the terminal patterns of the projections of V1 to V2 can be quite patchy (see Weller *et al.*, 1979; Wong-Riley, 1979; Lin *et al.*, 1982; Cusick and Kaas, 1988b), reflecting both the matching of modular output zones and target zones, and the patchy nature of the bands. In addition, the fact that V2 is a "second order" representation, split along the horizontal meridian (see Allman and Kaas, 1974), means that injections placed along the representation of the horizontal meridian in V1 label separate locations in the dorsal and ventral wings of V2.

## 5.2. Connections with MT

The other major target of V1 is the middle temporal visual area (MT), a small oval of densely myelinated cortex in the upper temporal lobe that contains a systematic representation of the contralateral visual hemifield (Allman and Kaas, 1971). Unlike V2, MT is a visual area that has only been definitely identified in primates. Yet, all mammals have projection targets in cortex rostral or lateral to V1, and one of these targets may represent a less specialized homologue of MT (see Kaas, 1993; Kaas and Preuss, 1993). Cortex in the region now identified as MT has been known to receive inputs from V1 (Kuypers *et al.*, 1965; Myers, 1965) since well before MT was identified as a visual area by its other characteristics. Subsequently, evidence from a range of primate species, including humans (Clark and Miklossy, 1990; Kaas, 1992), has indicated that MT is part of the basic primate plan of cortical organization, and likely exists in all primates (see Kaas and Krubitzer, 1992; Preuss *et al.*, 1993).

In simians, the projections to MT originate from IIIC neurons and from a scattering of different types, depending on species, in layer V along the layer VI border and variably in layer VI (e.g., Lund *et al.*, 1975; Tigges *et al.*, 1981; Shipp

and Zeki, 1985). In prosimian galagos, the neurons projecting to MT are more widely distributed, such that although the majority are in IIIC, some are also located in upper sublayers of layer III (Diamond *et al.*, 1985). Thus, IIIC, as an output layer to MT, seems to be more differentiated and specialized in simians than in prosimians. Nevertheless, cells in the inner part of layer III are the major source of MT projections from V1 in all primates. The projections to MT are topographic, in that retinotopic locations in the two representations are interconnected (e.g., Symonds and Kaas, 1978; Spatz, 1979; Lin *et al.*, 1982; Weller and Kaas, 1983). However, the projections from any location in V1 to MT are patchy (e.g., Montero, 1980), suggesting the existence of separate classes of processing modules in MT (Fig. 19; see Kaas, 1986). The projections of V1 to MT terminate in layer IV, where they constitute the major source of activation (Kaas and Krubitzer, 1992; Maunsell *et al.*, 1992), but not the only one, since in macaque monkeys there is evidence that many neurons in MT remain responsive to visual stimuli after the inactivation of V1 (Rodman *et al.*, 1990; see Bullier, this volume). As a major component of the dorsal stream of visual processing for visual attention and spatial aspects of vision (Ungerleider and Mishkin, 1982; Goodale and

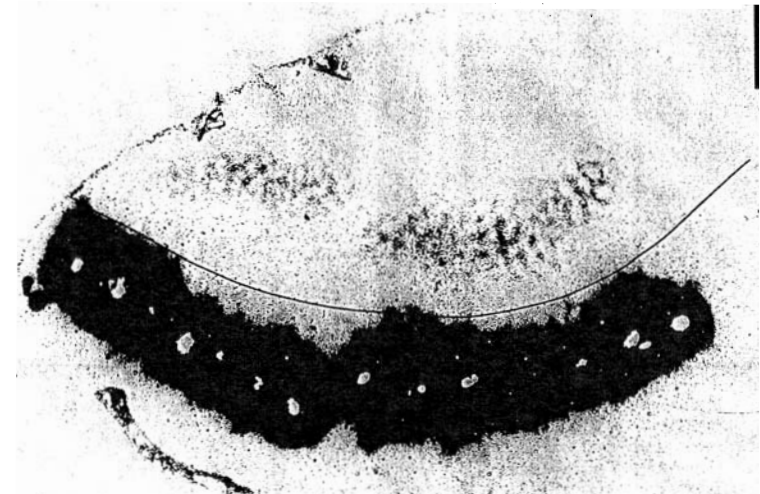


Figure 18. A row of injection sites in area 18 of a squirrel monkey and labeling in area 17. The effective injection sites (around the holes) are smaller than the dense band of label in area 18 so that bands and interbands were randomly involved. As a result, label in area 17 was sometimes concentrated

in interblob surrounds in upper and lower 17 and sometimes in blobs (middle 17). Cortex was flattened before sectioning, and processed for HRP. Bar = 2 mm. From Cusick and Kaas (1988) with permission.

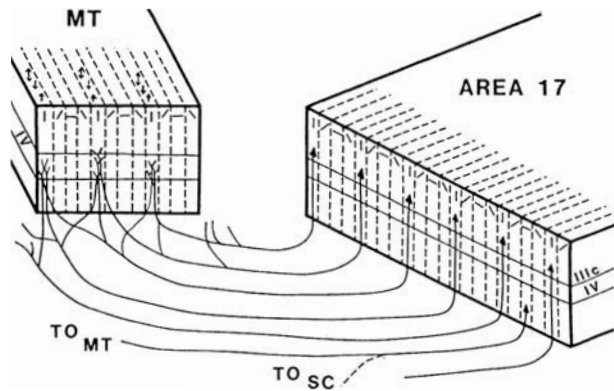


Figure 19. Proposed patterns of divergence and convergence of projections from area 17 and MT. Neurons of specific orientation and direction of movement selectivity in area 17 project to reveal groups of neurons with similar selectivity in MT. Likewise, each group of neurons in MT receives from several matched groups of neurons in area 17. These features of connectivity would account for the anatomical patterns of connections actually observed (e.g., Krubitzer and Kaas, 1990). From Kaas (1986) with permission.

Milner, 1992), the outputs of MT are directed to posterior parietal cortex and to DM (see below), which relays directly to posterior parietal cortex (see Krubitzer and Kaas, 1990a).

### 5.3. Connections with DM (Dorsal V3)

A third target of V1, that appears to exist in all primates, is located in the dorsomedial cortex just rostral to V2 (Krubitzer and Kaas, 1993). In owl monkeys this cortex has been called the dorsomedial visual area (DM) (Allman and Kaas, 1975), and it contains a systematic, but split or second-order, representation of the contralateral visual hemifield. Injections of tracers in V1 (Fig. 20) label reciprocal connections with area DM (Lin *et al.*, 1982; Krubitzer and Kaas, 1990a, 1993). V1 projections to DM terminate in layer IV and thus may constitute the feedforward or major visual drive for cells in this area, although it is unclear whether the less direct inputs from MT and V2, which are also dense, actually provide the main visual drive for DM cells. The projections from V1 to DM originate from a scattering of neurons that are located primarily within CO blobs. These projections probably constitute less than 5% of the total output of area V1. Feedback projections from DM to V1 originate mainly in layer V neurons, with a few originating from cells in layer III; these projections terminate in supragranular layers of V1.

DM likely exists in all primates since V1 sends axons to cortex in the location of DM in all investigated primates. However, DM has been established as a visual area by additional morphological and physiological criteria only in owl monkeys. In Old World macaque monkeys, cortex in the region of DM has long been

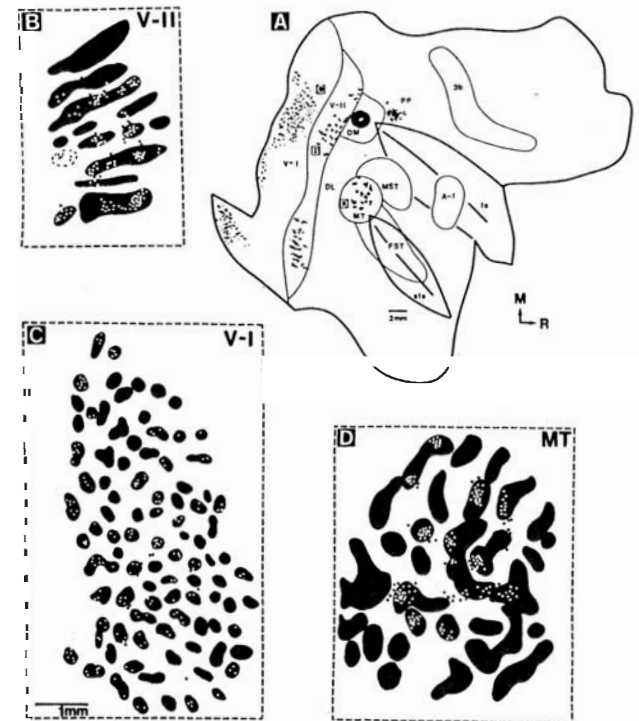


Figure 20. Connections of V1, V7, and MT with the dorsomedial area (DM) in owl monkeys. (A) The connection pattern on cortex that has been separated from the brain, flattened, and cut parallel to the surface. V1, V7, DM, the middle temporal visual area (MT), the fundal superior temporal area (FST), the middle superior temporal area (MST), auditory cortex (A1), and somatosensory cortex (area 3b) were determined architectonically. The dark circle indicates the injection of WGA-HRP in DM, and black dots indicate labeled neurons and terminations in other areas. (B) Projections from V7 originated from neurons (dots) that were largely in the CO-dense bands (black). (C) Projections from V1 were from neurons largely in CO-dense bands. (D) Projections from MT were from neurons largely in CO-dense bands. From Krubitzer and Kaas (1990) with permission.

considered to be part of a visual beltlike region, V3, bordering V2 (e.g., Myers, 1965; Cragg, 1969; Zeki, 1969), but the concept of a single beltlike V3 with direct inputs from V1 is inconsistent with the evidence given that the dorsal and ventral parts of V3 have different characteristics (Burkhalter *et al.*, 1986; Van Essen *et al.*, 1986). In particular, ventral "V3" lacks a projection from V1. However, the region defined as dorsal "V3" in macaque monkeys differs from DM in that DM constitutes a complete representation of the contralateral hemifield, whereas dorsal V3 represents only the lower visual quadrant. Nevertheless, cortex adjoining dorsal V3, termed V3a by Zeki (Zeki, 1980a), also receives inputs from V1, is densely myelinated like DM (and like dorsal V3), and represents the upper visual quadrant (Gattass *et al.*, 1988). Thus, dorsal V3 and V3a of macaque monkeys, together, may be homologous to DM in owl monkeys (see Krubitzer and Kaas, 1993). Projections to a densely myelinated area in the expected location of DM have been found in other Old World monkeys (talapoin), New World squirrel monkeys and marmosets, and prosimian galagos (Cusick and Kaas, 1988b; Weller *et al.*, 1991; Krubitzer and Kaas, 1993). It seems unlikely that the dorsomedial target of V1 projections represents different visual areas in different primates. Rather, it seems more parsimonious to conclude that the projections are to DM, a single area common to all primate groups.

DM appears to be linked by its inputs from MT and its projections to posterior parietal cortex (Fig. 20), to the dorsal stream of visual processing concerned with visual attention and localization (Ungerleider and Mishkin, 1982). Yet, direct inputs from the CO blobs of V1, as well as both the dorsal and ventral stream bands in V2 indicate that DM has inputs associated with the ventral as well as the dorsal stream. The ventral stream is critical for object recognition (Ungerleider and Mishkin, 1982). Thus, DM is a visual area with access to both streams of information processing, and it may play a central role in providing ventral stream information to posterior parietal cortex.

#### 5.4. Connections with Dorsolateral Cortex (DL or V4)

V1 also projects to a cortical region that lies between V2 and MT called the dorsal lateral area (DL) in owl monkeys (Allman and Kaas, 1974) and in most other primates (see Steele *et al.*, 1991, for review), and V4 in macaque monkeys (Zeki, 1971). The main output of DL-V4<sub>c</sub> is to the inferior temporal cortex (see Steele *et al.*, 1991, for review). It has been difficult to define the exact borders of this region, and uncertainties remain, but it now appears that the region contains three visual areas, each with a crude representation of the visual field. In brief these areas are: (1) a caudal division, DL<sub>c</sub>, which is more densely myelinated, expresses more CO activity (Cusick and Kaas, 1988b; Steele *et al.*, 1991; Preuss *et al.*, 1993), and receives the majority of forward projections of V2 (Cusick and Kaas, 1988b); (2) a more rostral division, DL<sub>r</sub>, which has connections that associate it with the dorsal rather than the ventral stream of processing (Cusick and Kaas, 1988b; Steele *et al.*, 1991; Weller *et al.*, 1991); and (3) a narrow ringlike area around most of MT that has been called the MT crescent or MT<sub>c</sub> (Kaas and Morel, 1993). The region termed "V4t" of macaque monkeys (Ungerleider and Desimone, 1986) appears to correspond to MT<sub>c</sub> of owl monkeys. Evidence for these three visual areas, or subdivisions thereof, within the DL or V4 complex largely depends on research in New World monkeys (e.g., Steele *et al.*, 1991), but there is

evidence for these areas also in Old World monkeys (see Perkel *et al.*, 1986); in prosimians (e.g., Preuss *et al.*, 1993) there is evidence for DL<sub>c</sub> and DL<sub>r</sub>, but not yet for MT<sub>c</sub>. While the major input to DL<sub>c</sub> is from V2, a moderate input is from V1 (e.g., Steele *et al.*, 1991; for review: Zeki, 1978; Lin *et al.*, 1982; Cusick and Kaas, 1988a; Krubitzer and Kaas, 1993). This layer V1 input originates mainly from the part of V1 that represents the central few degrees of vision, but since receptive fields for DL neurons are large, this input terminates over much of the middle portion of DL<sub>c</sub>. The input to DL<sub>r</sub> is from a scattering of supragranular cells throughout V1, and the major feedforward output of DL<sub>r</sub> is to caudal inferior temporal cortex, although DL<sub>c</sub> and MT also receive minor inputs.

The connections of DL<sub>c</sub> have been less fully described (see Cusick and Kaas, 1988b; Steele *et al.*, 1991). The inputs from V2 are sparse, in contrast to DL<sub>r</sub>, and the inputs from V1 are very weak, if present (however, see Preuss *et al.*, 1993). Little is known about the connections of MT<sub>c</sub> (see Kaas and Morel, 1993). Cortex in the region of MT<sub>c</sub>, however, has been described as having connections with V1 in *Cebus* (Sousa *et al.*, 1991) and macaque monkeys (Perkel *et al.*, 1986).

#### 5.5. Connections with Other Fields

In addition to the connections of V1 described above, other connections have been reported occasionally (Perkel *et al.*, 1986; Sousa *et al.*, 1991; Rockland, this volume). Extremely sparse and variable connections between V1 and posterior parietal cortex as well as cortex medial to DM have been described (Perkel *et al.*, 1986; Sousa *et al.*, 1991). These areas include area M (Allman and Kaas, 1976), and also referred to as PO (Colby *et al.*, 1988), the inferior temporal cortex, and the medial superior temporal area (MST) just rostral to MT. To the extent that such connections exist, they would seem to play an extremely minor role in visual processing.

#### 5.6. Feedback Connections

All of the major targets of V1 also project back to V1, and thus these connections are reciprocal. As first stressed by Wong-Riley (1979), Rockland and Pandya (1979), and Tigges *et al.* (1981), and subsequently by others (e.g., Maunsell and Van Essen, 1983; Burkhalter and Bernardo, 1989), the feedback connections to V1 have different laminar origins and terminations than feedforward projections from V1. Feedforward projections originate largely in layer III of V1 and terminate largely in layer IV of V2, MT, DM, and DL<sub>c</sub>, while in these same areas neurons in layers V, VI, and to a lesser extent layer III project back to supragranular and infragranular layers of V1 (see Rockland, this volume). Feedback from both areas V2 and MT terminates in the supragranular layers. However, feedback from these areas to the infragranular layers differs such that V2 projects to layer V and also layer IIIC of area V1; MT projects to layer VI. There are area-specific differences in the laminar patterns of feedback as well as feedforward connections. In addition, feedback connections have the general feature of being less topographically specific than feedforward connections. Most notably, the feedback connections from MT to V1 are broadly distributed in a manner that suggests that the feedback from orientation and direction of movement columns in MT include mismatched as well as matched columns in V1 (Shipp and Zeki, 1985; Krubitzer and Kaas, 1989). Also, the feedback connections from MT to V1

are denser in the interblob regions of layer III, although cells in both blobs and interblobs appear to get input from MT. Thus, feedback from MT as well as other targets of V1 has the potential to influence the neurons that provide their own inputs from V1 as well as outputs to other areas.

## 6. Callosal Connections of V1

The general impression of many investigators is that V1 of primates is completely devoid of callosal connections. This incorrect impression stems, in part, from the results of early studies of V1 in macaque monkeys, using less sensitive degeneration methods, which failed to find evidence for callosal connections (e.g., Myers, 1965), and, in part, from subsequent reports where only the outer margin of V1 was found to have callosal connections (e.g., Kennedy *et al.*, 1985). With more information the picture has changed. The use of more sensitive axonal-transport tracing procedures, coupled with studies of a greater range of species, have led to the general conclusion that area 17 in all mammals investigated, including primates, has callosal connections. The extent and magnitude of these connections are quite variable across species. Nevertheless, a consistent feature of this pathway is that connections are always concentrated along the V1–V2 border (see Cusick and Kaas, 1986b, for review).

In most mammals, area 17 has rather extensive callosal connections. Even in opossums, which lack a corpus callosum, most of area 17 contributes to strong interhemispheric pathways which travel through an anterior commissure (Cusick and Kaas, 1986b). Tree shrews, generally regarded as close relatives of primates, demonstrate the typical pattern with the majority of callosally projecting neurons densely packed within 0.5–1.0 mm of the 17/18 border, but with some cells also projecting callosally from more peripheral regions of area 17 (Cusick *et al.*, 1984). The callosally projecting neurons in most mammals originate in layer IIIC and in layer V, and terminations are concentrated in the same layers. Weyland and Swadlow (1980) first demonstrated that the callosal connections of V1 in prosimians (galagos) can be substantial when they showed that labeled cells extend several millimeters into V1 after HRP injections in the other hemisphere. Subsequent studies have shown that the callosal pattern in primates is quite variable. Several aspects of the species differences in callosal connection patterns of V1 are apparent in Fig. 21 (Cusick *et al.*, 1984; Cusick and Kaas, 1986b). First, as described by Weyland and Swadlow (1980), callosal connections of V1 in galagos do extend inward with decreasing density several millimeters from the border. Moreover, away from the border, the connections have a patchy pattern that matches the pattern of CO blobs. The projecting neurons and terminations are concentrated in layer IIIC and in layer V. This pattern further reinforces the view that CO blob and interblob compartments are not limited to layer IIIB but may involve all cortical layers within a vertical column.

In contrast to galagos, dense callosal connections extend only a short distance into V1 of New World owl monkeys, and hardly at all into V1 of macaque monkeys. Again, the callosally projecting cells are located in both layer IIIC and layer V, although the majority are in layer IIIC. Terminations of callosal axons are found in the same layers, but terminations seem to be more widely distributed than projecting cells, suggesting that individual callosal projection neurons have large axon arbors (see also Newsome and Allman, 1980; Kennedy *et al.*, 1986;

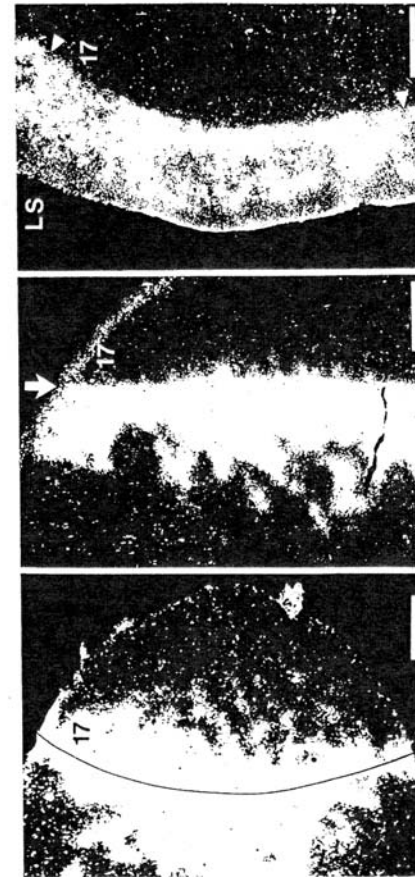


Figure 21. Callosal connections of area 17 in primates. The dark-field photomicrographs are of cortex cut parallel to the surface after injections of HRP in the opposite hemisphere. The 17/18 border is marked in each section by a line or arrow. The label extends from the 17/18 border into area 17 for several millimeters in prosimian galagos (left), only slightly in owl monkeys (middle), and hardly at all in macaque monkeys (right). Bars = 1 mm. LS, lunate sulcus. From Cusick and Kaas (1986a) with permission.

Gould *et al.*, 1987; Kennedy and Dehay, 1988). In owl monkeys, patches of connections are periodically spaced along the V1 border. Diurnal New World monkeys such as squirrel monkeys (Gould *et al.*, 1987) and marmosets (Cusick *et al.*, 1984) appear to have a more restricted pattern than nocturnal New World owl monkeys, so that they more closely resemble diurnal Old World monkeys.

Callosal connections in V1 of primates may subserve some of the basic functions of intrinsic connections for parts of V1 near the border where the horizontal spread of intrinsic connections would be truncated. Nocturnal primates with lower visual acuity and larger receptive fields in V1 apparently have more widespread intrinsic connections in V1 (see Cusick and Kaas, 1988b), and more widespread callosal connections would complement the widespread intrinsic system. Thus, the patterns of callosal connections of V1 in primates closely reflect the patterns of intrinsic connections, and thus they are likely to mediate similar functions.

## 7. Subcortical Connections

Area 17 projects to a variety of subcortical targets. In all mammals examined, these projections have been described as arising from cells in layers V and VI. As a rule, projections from layer V target a variety of zones in the thalamus, midbrain, and pons, with a strong projection to the superior colliculus being a consistent feature. In contrast, the subcortical targets of cells in layer VI are principally limited to the thalamus and always include a feedback pathway to the LGN.

In primates, cells in layer V of area V1 project to a number of targets, the main ones being the pulvinar, superior colliculus, pretectum, and pons (see Kaas and Huerta, 1988, for review). In galagos and macaque monkeys, similar pyramidal cells in layer V appear to send projections to both the inferior pulvinar and the superficial layers of the superior colliculus (Trojanowski and Jacobson, 1976; Raczkowski and Diamond, 1980), although double-label studies have not been performed to prove this point. However, double-label studies have shown clearly that the large Meynert cells at the lower border of layer V project to both cortical area MT and the superior colliculus (Fries *et al.* 1985). Thus, area 17 pyramidal cells in layer V can innervate multiple targets via collaterals (see also O'Leary and Stanfield, 1985).

Projections to the superior colliculus are retinotopically organized. The corticocollicular projection, however, terminates within the deepest zone of the superficial gray layer and within the upper portion of the stratum opticum, only partially overlapping the projection from the retina (e.g., Huerta and Harting, 1984). In macaque monkeys, it has been argued that the V1 pathway to the colliculus relates most strongly to the M layers of the LGN since silencing the M layers of the macaque LGN or cooling V1 also inactivates cells in the deep layers (i.e., below the stratum opticum; Schiller *et al.*, 1974). Because V1 does not project directly to the deep collicular layers, it is not entirely clear how the information from the M LGN layers and V1 influence the deep collicular neurons. Moreover, in macaque monkeys, galagos, and squirrel monkeys, it is clear that colliculogeniculate projections avoid the M layers and end in the interlaminar zones and the K layers and their equivalents (see Lachica and Casagrande, 1993). Thus,

the superior colliculus does not appear to directly modulate M layer activity that is relayed to V1.

Projections from V1 to the pulvinar are also complex and appear to involve zones that get input from cells in the superior colliculus that, themselves, are innervated by projections from V1. At least two divisions of the pulvinar complex have reciprocal connections with V1. The pulvinar is commonly divided into inferior, lateral, medial, and anterior divisions or "nuclei" although each division may actually include several nuclei (see Kaas and Huerta, 1988). Both the central nucleus of the inferior pulvinar and the lateral nucleus of the lateral pulvinar project to V1 and receive topographically organized projections from V1 (Benevento and Rezak, 1976; Ogren and Hendrickson, 1977; Symonds and Kaas, 1978; Carey *et al.*, 1979; Graham *et al.*, 1979; Lin and Kaas, 1979; Rezak and Benevento, 1979; Raczkowski and Diamond, 1980; Graham, 1982; Ungerleider *et al.*, 1983; Dick *et al.*, 1991). Two points are noteworthy regarding inputs to the primate pulvinar. First, it has been demonstrated for macaque monkeys that in regions where projections from V1 and the colliculus overlap (e.g., the inferior pulvinar) in the pulvinar, the main drive for cells in the pulvinar is from the cortex, not the colliculus (Bender, 1983); cells in the inferior pulvinar do not respond to visual stimulation in the absence of input from V1. However, this dependence on V1 may not be the case for other mammals, such as tree shrews, that are able to see well without striate cortex, but not without the temporal lobe target of the pulvinar (Snyder and Diamond, 1968). Second, in macaque monkeys the pulvinar also sends patchy input back to layers I and II of V1 (Ogren and Hendrickson, 1977; Rezak and Benevento, 1979). The pulvinar also projects back to V1 in other primates, but it is not clear if the patterns are identical (see Kaas and Huerta, 1988, for review).

In addition to projections to the colliculus and pulvinar, cells in layer V of primate V1 show projections to several pretectal nuclei (Graham *et al.*, 1979; Hoffman *et al.*, 1991), and to a set of specific zones in the pons. In macaque monkeys, projections from V1 to the pons terminate in several eye-movement-related nuclei (Glickstein *et al.*, 1980).

As mentioned earlier, the major subcortical projection of the cells in layer VI of area V1 is to the LGN. In macaque monkeys and galagos, it has been shown that separate tiers of layer VI project to specific classes of LGN cells from which they also receive a minor direct LGN projection. Preliminary data in galagos indicate that the projections back to the LGN from cortex may be even more complex with some axons innervating pairs of LGN layers as well as the reticular nucleus, and others innervating a single layer, or several interlaminar zones (Lachica *et al.*, 1987, and unpublished). Patterns of projections from layer VI to the LGN in other mammals suggest that considerable variability exists across species (e.g., Swadlow, 1983). Thus, in ferrets single axons have been shown to innervate functionally distinct components of the LGN (e.g., the C layers, A layers, and the interlaminar zones) as well as the perigeniculate nucleus (Claps and Casagrande, 1990). In other species such as tree shrews, V1 projections to the LGN appear to mainly concentrate in the interlaminar zones (Brunso-Bechtold *et al.*, 1983).

Layer VI also sends a projection to the thalamic reticular formation (e.g., Symonds and Kaas, 1978). The latter appears to be part of a loop involving the LGN. Thus, the "visual" portion of the thalamic reticular formation projects heavily to and receives from the LGN. This loop forms a portion of the inhibitory circuitry that regulates the flow of information through LGN relay cells (see

Casagrande and Norton, 1991). Projections from V1 to the LGN and reticular nucleus provide a means by which the cortex can regulate its own input by engaging this inhibitory circuitry or by projecting directly back to cells that send information to layer IV.

## 8. Conclusions

In humans and other primates, V1 appears to be critical for object recognition and conscious perception (Weiskrantz, 1986; Bullier, this volume). In contrast, some nonprimate species, such as tree shrews, retain good object recognition and spatial localization despite the complete removal of area 17 and subsequent complete degeneration of the LGN (Snyder and Diamond, 1968). The relative importance of V1 to primate vision is also reflected by the size of this area, which occupies nearly 20% of neocortex in both prosimians and New and Old World simians (Felleman and Van Essen, 1991; Krubitzer and Kaas, 1990b). In primates, V1 also represents the main link between visual signals from the eye, via the LGN, to all other visual cortical areas. Thus, primate V1 represents the first staging area for the reorganization of perceptually relevant visual information to be distributed and utilized for perception in subsequent target visual areas. There are across-species similarities in the way parallel inputs and outputs of V1 are organized and relate to intrinsic circuitry in primates. The intrinsic circuitry allows new output pathways to be created from the parallel inputs to V1 in order to support further analysis by higher visual areas located in the dorsal and ventral streams (see Martin, 1992; Merigan and Maunsell, 1993; DeBruyn *et al.*, 1993). In this review we focused on the anatomical framework for the transformation and distribution of signals in V1 of primates, highlighting those features that are common across primate taxa. Several conclusions can be drawn from such comparisons. Some of the connections described earlier are also summarized in Fig. 22.

1. The widespread use of Brodmann's nomenclature for cortical layers, defined in Nissl stain, in V1 of primates generates confusion and error, and this terminology should be replaced with one compatible with both traditional architectonic and current experimental observations. In brief, the evidence indicates that Brodmann included two sublayers of layer III (IIIC and IIIB $\beta$ ) in layer IV (IVB and IVA) of primates. Thus, comparisons of layers and sublayers of area V1 with layers in other areas in the same species are invalid because the homologies have been evaluated incorrectly. Comparisons across species for area 17 also are invalid because the direct homologies are in error. We use a modified version of Hassler's nomenclature. This system retains the distinctions for the unique primate specializations of layer IV (IV $\alpha$  and IV $\beta$ ) and also defines the layer III specialization of some simian primates (e.g., IIIB $\beta$ ).
2. An architectonic feature of area V1 that appears to be universal or nearly so among primates is the distinct periodic pattern of elevated metabolic activity that is revealed by staining for CO. The CO dark (blob) and light (interblob) regions mark zones of distinct vertical connectivity within V1 and connectivity between V1 and other areas. Thus, blobs and interblobs

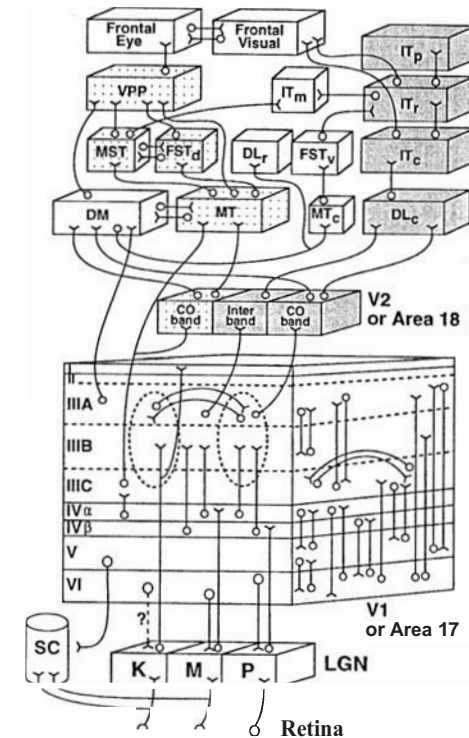


Figure 22. A schematic indicating some of the main intrinsic and extrinsic connections of V1 in primates as described in the text. No effort was made to define the strength of connections, or to indicate true axon collaterals or species-unique features. The major input to V1 is from the lateral geniculate nucleus (LGN) which arrives via three pathways, the koniocellular (K), magnocellular (M), and parvocellular (P) pathways. The retina also projects to other targets, one of which, the superior colliculus (SC), is shown. Within V1, cell layers are heavily interconnected, not only by some of the axonal pathways shown but also via dendritic arbors (not shown). The main ipsilateral connections to extrastriate cortex exit from layers IIIA and IIIC. Within IIIA, the cells within cytochrome oxidase (CO)-rich blobs, indicated by dotted ovals, and CO-poor interblobs send information to different target cells within bands in V2. These three output pathways project into dorsal (light stipple) and ventral (dense stipple) streams. Visual areas within each stream may represent functional clusters; however, visual areas within these streams are also heavily interconnected. Many of these areas also send feedback connections to V1 (not shown). Areas are as follows: DL<sub>c</sub>, caudal dorsolateral (V4<sub>c</sub>); DL<sub>r</sub>, rostral dorsolateral; DM, dorsomedial (V3<sub>dm</sub>); FST<sub>d</sub>, dorsal subdivision of superior temporal; FST<sub>v</sub>, ventral subdivision of superior temporal; MT, middle temporal; MT<sub>c</sub>, crescent of middle temporal (V4<sub>c</sub>); MST, medial superior temporal; IT, inferior temporal (c, caudal; r, rostral; p, posterior; m, medial); VPP, ventral posterior parietal.

mark functionally distinct subdivisions of V1 that are basically similar across primate taxa. While it has been common to relate blobs to a sub-system subserving color vision, blobs are equally prominent and occupy proportionately as much, or more, of V1 in nocturnal primates with limited color vision (e.g., galagos and owl monkeys) as in diurnal primates with well-developed color vision (e.g., squirrel and macaque monkeys). Thus, blobs are likely to participate in functions other than color vision, and they may help mediate a broad range of functions.

3. In some primates, although not all, ocular-specific inputs (via the LGN) are highly segregated into diverging and merging bands that gradually change to a dot and surround pattern in the portion of area V1 devoted to peripheral vision. All catarrhine (Old World) simian primates have ocular dominance bands, but they are well segregated in only the larger platyrrhine (New World) simian primates. Other platyrrhine primates have little or no tendency to exhibit ocular dominance bands, and bands, defined anatomically, are only weakly expressed in prosimian galagos. There are no known functional correlates of bands, although roles in functions such as stereoscopic vision have been postulated. For reasons that are as yet unclear, the ocular dominance bands also appear to have an organizing influence on CO blobs because blobs, or vice versa, are centered on bands.
4. In all primates, the LGN sends major inputs to area V1 over three anatomically and physiologically distinct parallel pathways via the neurons located in magnocellular, parvocellular, and interlaminar or koniocellular LGN layers (M, P, and K). These three LGN pathways terminate, by and large, separately in layers IV $\alpha$  (M), IV $\beta$  (P), and the CO blobs of layer III and in layer I (K), respectively. Differences in the physiological characteristics and response properties of neurons in the M and P pathways have been used to support arguments that the M pathway is especially important in providing inputs used in motion perception, and the P pathway in detailed object and color perception. However, given the overlap in the spatial and temporal resolution of P and M LGN cells and the anatomical substrates that provide for mixing of information (see below), it seems unlikely that both of these pathways contribute directly to higher-order perceptual attributes (see Casagrande and Norton, 1991; Merigan and Maunsell, 1993, for discussion). At present, it is not clear what contribution the K pathway makes to the integration of visual processes in V1. In primates the physiological properties of K cells have only been examined in galagos, where studies indicate that these cells are physiologically heterogeneous and resemble W cells in cats. A strong projection of W or W-like retinal ganglion cells to the superior colliculus has suggested to some that this pathway is more primitive and may be associated with general orientation in space and "ambient vision" (Stone, 1983). The strong collicular input to this class of cells in primates and their highly specific output to the CO blobs also hint at a role for this pathway in local modulation of activity (perhaps physiological priming for shifts of local attention) in V1 of primates.
5. In all primates studied, there are major vertical intrinsic connections in V1. Since all layers send and receive vertical interconnections from several other layers, the direction of flow of information cannot be defined anatomically into strict serial steps. Major input from the LGN enters V1

within layer IV, which itself is influenced by projections from the deeper layers. From this point information can travel to both the superficial and deep layers. Within the superficial layers, layer III consists of at least three main sublayers; IIIA, which sends projections to V2, IIIC, which sends projections to MT and the other hemisphere, and IIIB, which appears to act mainly as an interneuronal pool. The subdivisions of layer III have heavy interconnections with other layers, especially layer V. Layer III is also divided tangentially into the CO blob and interblob zones which themselves show differences in vertical connectivity and project to different ventral stream bands of V2. Although there are differences in the details of connections among species, CO blobs in layer IIIB in all species appear to receive not only a direct projection from K LGN cells, but also indirect inputs, via projections from layers IV $\alpha$  and IV $\beta$ , from both LGN M and P cells. The interblobs appear to get more restricted inputs but, at least in some species (e.g., owl monkeys), they also get indirect input from both M-recipient IV $\alpha$  and P-recipient IV $\beta$ . Thus, there is a mixing and integration of LGN streams in these cortical modules. Layer IIIC receives a heavy projection from M-recipient layer IV $\alpha$ , in addition to projections from both deep and superficial layers. Whereas layer IIIC cells may be dominated more by input from LGN M cells via layer IV $\alpha$ , inputs from infra- and supragranular layers would be subject to P and K influences. The deeper cortical layers V and VI also show sublaminal differences in connections. Within layer V, the most superficial strip, layer VA, may act as a set of interneurons, while layer VB sends major projections to subcortical targets (e.g., pulvinar and superior colliculus). The upper subdivision of layer VI appears to project mainly to P LGN layers and it receives a minor projection from them as well. The lower division of layer VI sends a projection mainly to M LGN layers and it also receives a minor projection from them. Layer VI also has connections with virtually all other cortical layers including layer IV.

6. In all primates studied, lateral or horizontal intrinsic connections within V1 are pronounced in layers III and V, and they are extensive for CO blob modules. These connections unite groups of neurons of matched response properties, and they provide a potential substrate for more global processing not found within subcortical levels of the visual system.
7. In all primates studied, three major output pathways distribute information from V1 to other areas of cortex.
  - a. Direction-selective neurons in layer IIIC and in layer VB provide output to MT in all investigated primates. MT is a major station in the dorsal stream of visual processing directed to posterior parietal cortex that is important in localizing objects, visual tracking, other spatial aspects of vision, and visual attention.
  - b. Layer IIIC cells also provide inputs to the dorsal stream bands of V2. These dorsal stream bands relay to MT, DM, and ventral subdivision of superior temporal (FST), visual areas associated with the dorsal stream. Layer IIIA cells in the CO blob modules project to the ventral stream bands, which then relay largely to DL, and then to inferior temporal cortex. Layer IIIA cells in the interblob modules project to the interbands of V2, which then also relay to DL.



- c. Layer IIIA cells, largely associated with the blob modules, project to DM, thereby providing an input usually associated with the ventral stream to a dorsal stream area. Other inputs to DM are from both sets of CO-dense bands in V2. DM further relays to posterior parietal cortex.
8. The callosal connections of V1 appear to subservise the functions of the intrinsic connections for portions of V1 near the border. Thus, they are most dense along the margin of the area where they span all layers and resemble the dense vertical intrinsic connections. Other connections extend with decreasing density up to several millimeters away from the border, especially in layer III and more notably in the CO blob modules, both subdivisions of V1 that have the most extensive horizontal intrinsic connections. These more widespread callosal connections are species variable, being very limited in diurnal simians and most prevalent in nocturnal prosimians (i.e., galagos), where V1 is also characterized by widespread intrinsic connections.
  9. Subcortical connections follow the general mammalian pattern with layer VI cells projecting to the LGN and claustrum, and layer V cells projecting to nuclei of the pulvinar and the superficial layers of the superior colliculus. These connections allow feedback to modulate the relay of visual information to cortex. Thus, area 17 projections to the LGN can directly modulate the major inputs to area 17 and projections to the pulvinar and claustrum activate feedback that also modulates area 17 neurons as well as neurons in other visual fields. More indirect feedback loops may involve the projections to the striatum, superior colliculus, and brain-stem structures, especially the visual pons.

In summary, the organization of V1 inputs, intrinsic connections, and output targets is remarkably similar across primate species. Some of the features, but by no means all, are present in nonprimate mammals. The similarities among primates are somewhat surprising, given that the primate order is one of the most varied in body features, and varies enormously in body size and brain size from the mouse lemur to the gorilla and large-brained human. The reassuring implication of this basic similarity is that much of visual processing and distribution of visual functions are similar across primates, and generalizations based on findings limited to a few species are likely to apply to other species including humans.

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